



# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
<b>AB BIOTICS</b> GVC Gaesco Beka	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)
<b>ABEO</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of Abeo. CIC provides liquidity and is acting as lead manager and book runner for ABEO as part of the announced capital increase dated January 2018.
<b>ACCOR</b> CM-CIC Market Solutions	CIC is a bond market maker for Accor. Crédit Mutuel Alliance Fédérale holds over 0.5% of Accor.
<b>ACOTEL</b> Banca Akros	Banca Akros is a specialist or liquidity provider
<b>ADEUNIS</b> CM-CIC Market Solutions	CIC provides liquidity to ADEUNIS. CIC is Listing Sponsor for Adeunis.
<b>ADMIE STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>AGILE CONTENT, S.A.</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>AIR LIQUIDE</b> CM-CIC Market Solutions	CIC is a bond market maker for Air Liquide. Crédit Mutuel Alliance Fédérale holds over 0,5% of Air Liquide.
<b>AIRBUS SE</b> CM-CIC Market Solutions	CIC is a bond market maker for Airbus SE
<b>AKKA TECHNOLOGIES</b> CM-CIC Market Solutions	CIC provides liquidity to AKKA Technologies
<b>ALPHA BANK STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>ALPHA BANK STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>ALSTOM</b> CM-CIC Market Solutions	CIC is a bond market maker for Alstom
<b>ALTIA</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription
<b>ALTRI</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member and/or its Group holds a position in debt securities The Member and/or its Group had an Investment Banking services client relationship (B) Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group provided debt financing (B)
<b>AMADEUS</b> CM-CIC Market Solutions	CM-CIC Market Solutions was bookrunner of the bond issued in September 2018
<b>AMBIENTHESYS</b> Banca Akros	Banca Akros is a specialist or liquidity provider (MTA)
<b>ANIMA HOLDING</b> Banca Akros Banca Akros	Banca Akros' parent group holds more than 3% or is a member of shareholders pacts Banca Akros acted as joint global coordinator of the right issue of the company organized in March and April 2018
<b>ARCELORMITTAL</b> CM-CIC Market Solutions	CIC is a bond market maker for ArcelorMittal
<b>ARCHIMEDE</b> Banca Akros	Banca Akros is acting as corporate broker (AIM)
<b>ARKEMA</b>	



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CM-CIC Market Solutions	CIC is a bond market maker for Arkema
<b>ASGARD INVESTMENT HOTELS SOCIMI</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>ASTALDI</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Astaldi SPA.
<b>ATOS</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Atos. CM-CIC Market Solutions was bookrunner of the bond issued in October 2018. CIC is a bond market maker for Atos
<b>ATRY'S HEALTH</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>AUTOPISTAS DEL ATLÁNTICO, CONCESIONARIA ESPAÑOLA, S.A.U.</b>	
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>AUTOSTRADA TO-MI</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider (TAH)
<b>AXA</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Axa
<b>AXELERO</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider (AIM)
<b>BANCA SISITEMA</b>	
Banca Akros	Banca Akros is acting as corporate broker
<b>BCP</b>	
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue
<b>BEST UNION</b>	
Banca Akros	Dual administrator role in related parties
Banca Akros	Banca Akros acted as coordinator of the collection of acceptances to the pertinent tender offer, organized in June and July 2018
<b>BNP PARIBAS</b>	
CM-CIC Market Solutions	CIC is a bond market maker for BNP Paribas. Crédit Mutuel Alliance Fédérale holds over 0,5% of BNP Paribas.
<b>BOLLORÉ</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Bolloré
<b>BOURBON</b>	
CM-CIC Market Solutions	CIC provides liquidity to Bourbon
<b>BOUYGUES</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Bouygues. Crédit Mutuel Alliance Fédérale holds over 0.5% of Bouygues.
<b>BPI</b>	
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities
<b>BUREAU VERITAS</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Bureau Veritas S.A.
<b>CAD IT</b>	
Banca Akros	Banca Akros acted as coordinator of the collection of acceptances to the pertinent tender offer, organized in March 2018
<b>CAPMAN</b>	
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>CARREFOUR</b>	



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CM-CIC Market Solutions	CIC is a bond market maker for Carrefour
<b>CASINO GUICHARD-PERRACHON</b> CM-CIC Market Solutions	CIC is a bond market maker for Casino Guichard-Perrachon. Crédit Mutuel Alliance Fédérale is a joint shareholder in Casino group's bank.
<b>CAST</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of CAST. CIC has signed a financial analysis contract with Cast Software and was lead manager and book runner as part of the capital increase dated may 20018.
<b>CATENON</b> GVC Gaesco Beka GVC Gaesco Beka	The Member is Nominated Adviser on AIM Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>CATTOLICA ASSICURAZIONI</b> Banca Akros	Banca Akros acted as Lead Manager of the pertinent consortium for the placement of the negotiable bonds organized in December 2017
<b>CAVERION</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement
<b>CELLNOVO</b> CM-CIC Market Solutions	CIC provides liquidity to CELLNOVO.
<b>CEMBRE</b> Banca Akros	Banca Akros is a specialist or liquidity provider (MTA)
<b>CENERGY HOLDINGS STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>CITYCON</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>CLASQUIN</b> CM-CIC Market Solutions	Claire Deray signed a Research agreement with Clasquin and CIC is the exclusive distributor. CIC is the listing sponsor of Clasquin.
<b>CLEVER GLOBAL</b> GVC Gaesco Beka GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue
<b>COCA COLA HBC STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>COFINA</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securitites Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member and/or its Group had an Investment Banking services client relationship (B)
<b>COMPANÍA ESPAÑOLA DE VIVIENDAS EN ALQUILER, S.A. ("CEVASA")</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>CORTICEIRA AMORIM</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securitites The Member and/or its Group had an Investment Banking services client relationship (B) Shares where the Member and/or its Group holds more than (A) (*)
<b>COVIVIO</b> CM-CIC Market Solutions	CIC is a bond market maker for Covivio and was bookrunner of the bond issued in September 2018 for Covivio Hotels. Crédit Mutuel Alliance Fédérale holds over 0.5% of Covivio.
<b>CREDIT AGRICOLE SA</b> CM-CIC Market Solutions	CIC is a bond market maker for Crédit Agricole SA
<b>CREVAL</b> Banca Akros	Banca Akros acted as Joint Bookrunner of the capital increase of the company organized in February and March 2018



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Issuer and ESN Member	Conflict Description
<b>CROSSJECT</b> CM-CIC Market Solutions	CIC is Listing Sponsor for Crossject. CIC provides financial communication services to Crossject. CM-CIC Market Solutions was an advisor on the capital increase of November 2018.
<b>CTT</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer The Member and/or its Group had an Investment Banking services client relationship (B) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
<b>CULTI MILANO</b> Banca Akros Banca Akros	As at 31 October 2018 Banca Akros was holding a net long position of 1.19% of the issuer's share capital (AIM) Banca Akros is a specialist or liquidity provider (AIM)
<b>DANONE</b> CM-CIC Market Solutions	CIC is a bond market maker for Danone. Crédit Mutuel Alliance Fédérale holds over 0.5% of Danone.
<b>DIGITAL BROS</b> Banca Akros	Banca Akros is a specialist or liquidity provider
<b>DIGITAL MAGICS</b> Banca Akros Banca Akros	Banca Akros is a specialist or liquidity provider (AIM) Banca Akros is acting as Nomad (AIM)
<b>DIGITOUCH</b> Banca Akros Banca Akros	Banca Akros is acting as corporate broker (AIM) Banca Akros is a specialist or liquidity provider (AIM)
<b>DNA</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>EDENRED</b> CM-CIC Market Solutions	CIC is a bond market maker for Edenred. CM-CIC Market Solutions was bookrunner of the bond issued in November 2018.
<b>EDP</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B) The Member and/or its Group had an Investment Banking services client relationship (B) The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) Shares where the Member and/or its Group holds more than (A) (*) The Member and/or its Group holds a position in debt securities The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement
<b>EDP RENOVAVEIS</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company The Member and/or its Group had an Investment Banking services client relationship (B) The Member and/or its Group provided debt financing (B) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) Shares where the Member and/or its Group holds more than (A) (*)
<b>EFG EUROBANK ERGASIAS STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>ELICA</b> Banca Akros	Banca Akros is acting as corporate broker
<b>ELIOR</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Elior Participations.
<b>ELLAKTOR S.A. (ex ELLINIKI TECNODOMIKI TEB) STOCK FUTURE</b>	



# List of shares in potential Conflicts of Interest (by issuer)

Issuer and ESN Member	Conflict Description
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>EMOVA GROUP</b>	
CM-CIC Market Solutions	CIC provides liquidity to Emova Group. CIC provides financial communication services to Emova Group. CIC is the listing sponsor of Emova Group. Claire Deray signed a research contract with Emova Group and CIC is the exclusive distributor of such research.
<b>ENEL</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Enel
<b>ENERVIT</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider
<b>ESI Group</b>	
CM-CIC Market Solutions	CIC provides liquidity to Esi Group
<b>ESSILOR INTL.</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Essilor Intl.
<b>EUROESPES</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>EURONA WIRELESS TELECOM</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>EVOLIS</b>	
CM-CIC Market Solutions	CIC provides liquidity to Evolis. CIC is Listing Sponsor for Evolis. CIC provides Financial Communication services to Evolis. Crédit Mutuel Alliance Fédérale holds over 0,5% of Evolis.
<b>EXCEM CAPITAL PARTNERS SOCIEDAD DE INVERSION RESIDENCIAL, SOCIMI, S.A., S.A.</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>EXPRIVIA</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider
<b>FACEPHI BIOMETRIA</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>FALCK RENEWABLES</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider
<b>FF Group SHOPS STOCK FUTURE</b>	
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>FILA</b>	
Banca Akros	Banca Akros acted as Joint Bookrunner of the capital increase of the company organized in December 2018
<b>FINE FOOD AND FARMACEUTICALS</b>	
Banca Akros	As at 31 October 2018 Banca Akros was holding a net long position of 0.69% of the issuer's share capital
<b>FLEURY MICHON</b>	
CM-CIC Market Solutions	CIC provides liquidity to Fleury Michon.
<b>FTSE/ASE LARGE CAP FUTURE</b>	
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>FTSE/ASE LARGE CAP OPTION</b>	
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>GALP ENERGIA</b>	
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)



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Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member and/or its Group had an Investment Banking services client relationship (B)
<b>GAMBERO ROSSO</b> Banca Akros	Banca Akros is acting as Nomad (AIM)
<b>GAMENET</b> Banca Akros Banca Akros	Banca Akros acted as Co-Lead Manager for the pertinent IPO organized in November and December 2017 Banca Akros acted as Co-Lead Manager of the bond issuance organized in April 2018
<b>GAS PLUS</b> Banca Akros	Banca Akros is a specialist or liquidity provider
<b>GECINA</b> CM-CIC Market Solutions	CIC is a bond market maker for Gecina
<b>GEK STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>GENFIT</b> CM-CIC Market Solutions	CIC provides liquidity on Genfit. CIC provides Investors marketing services to Genfit. Crédit Mutuel Alliance Fédérale holds over 0,5% of GENFIT. CIC has signed a financial analysis contract with GENFIT.
<b>GL EVENTS</b> CM-CIC Market Solutions	CM-CIC Market Solutions is Global Coordinator and Joint Bookrunner as part of the capital increase dated September 2018. CIC provides liquidity to GL Events. CIC provides Financial Communication services to GL Events.
<b>GPI</b> Banca Akros	Banca Akros is acting as Nomad (AIM)
<b>GRIVALIA PROPERTIES (ex EUROBANK PROPERTIES REAL ESTATE INVESTMENT COMPANY) STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>GRIÑO ECOLOGIC S.A.</b> GVC Gaesco Beka GVC Gaesco Beka	The Member is Nominated Adviser on AIM Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)
<b>GROUPE ADP</b> CM-CIC Market Solutions	CIC is a bond market maker for Aéroports de Paris
<b>GROUPE OPEN</b> CM-CIC Market Solutions	CIC provides liquidity to Groupe Open
<b>GROUPE PARTOUCHE</b> CM-CIC Market Solutions	Valerie Dieppe has signed a research contract with Groupe Partouche and CIC is the exclusive distributor of such research. CIC provides liquidity to Groupe Partouche
<b>GROUPE PSA</b> CM-CIC Market Solutions	CIC is a bond market maker for Groupe PSA. CM-CIC Market Solutions was bookrunner of the bond issued by Peugeot S.A. in March 2018.
<b>GROUPE SFPI S.A.</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of GROUPE SFPI.
<b>GRUPO INSUR</b> GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>GUALA CLOSURES</b> Banca Akros	Banca Akros acted as Co-Lead Manager of the bond issuance organized in September 2018
<b>HELLENIC EXCHANGES STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>HELLENIC PETROLEUM STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>HELLENIC TELECOM.ORGANISATION STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>HELLENIC TELECOM.ORGANISATION STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)



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<b>HF COMPANY</b> CM-CIC Market Solutions	CIC provides liquidity to HF Company.
<b>I GRANDI VIAGGI</b> Banca Akros	Banca Akros is a specialist or liquidity provider
<b>IBERSOL</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
<b>ICADE</b> CM-CIC Market Solutions	CIC is a bond market maker for Icade. CM-CIC Market Solutions was active bookrunner of the bond issued in February 2018.
<b>IDEAMI /SPAC</b> Banca Akros Banca Akros Banca Akros	Banca Akros acted as Joint Global Coordinator e Joint Bookrunner for the IPO organized in November and December 2017 (AIM) Banca Akros is acting as Nomad (AIM) Banca Akros is a specialist or liquidity provider (AIM)
<b>IL SOLE 24 ORE</b> Banca Akros	Banca Akros acted as Co-Lead Manager for the pertinent capital increase organized in October and November 2017
<b>IL SOLE 24 ORE (AZ. PRIV.)</b> Banca Akros	As at 31 October 2018 Banca Akros was holding a net long position of 0.77% of the issuer's share capital
<b>ILLIAD</b> CM-CIC Market Solutions	CM-CIC Market Solutions was bookrunner of the bond issued in April 2018. CIC is a bond market maker for Iliad.
<b>ILLA</b> Banca Akros	Banca Akros is a specialist or liquidity provider (AIM)
<b>IMERYS</b> CM-CIC Market Solutions	CIC is a bond market maker for Imerys.
<b>IMPRESA</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
<b>INDELB</b> Banca Akros	Banca Akros is acting as corporate broker
<b>INDRA</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>INDUSTRIA E INNOVAZIONE</b> Banca Akros	Banca Akros acted as Co-Lead Manager for the pertinent capital increase organized in December 2017 and January 2018
<b>INDUSTRIAL STARS OF ITALY 3</b> Banca Akros Banca Akros Banca Akros	Banca Akros is a specialist or liquidity provider (AIM) Banca Akros acted as Co-Lead Manager for the IPO organized in September 2017 (AIM) Banca Akros is acting as Nomad (AIM)
<b>INTRALOT STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>INYPISA</b> GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue
<b>IREN</b> Banca Akros	Banca Akros is acting as Bookrunner of the consortium for the placement of the negotiable bonds organized in September 2018
<b>JERONIMO MARTINS</b> Caixa Banco De Investimento Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B) Shares where the Member and/or its Group holds more than (A) (*)



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Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities
<b>JUMBO S.A. STOCK FUTURE</b>	
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>K.R. ENERGY</b>	
Banca Akros	Banca Akros acted as the Negotiating Bank for the unexercised auction in July 2018
Banca Akros	Banca Akros acted as the Placing Agent for the company's capital raising in June and July 2018
<b>KAUFMAN &amp; BROAD</b>	
CM-CIC Market Solutions	Claire Deray has signed a research contract with Kaufman & Broad and CIC is the exclusive distributor of such research.
<b>KERING</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Kering
<b>KLEPIERRE</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Klepierre
<b>KME</b>	
Banca Akros	Banca Akros acted as Lead Manager of the pertinent consortium for the placement of the negotiable bonds organized in February 2018
<b>KOJAMO</b>	
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription
<b>KOLINPHARMA</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider (AIM)
<b>KORIAN</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Korian
<b>KOTIPIZZA</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of KOTIPIZZA GROUP.
<b>LAFARGEHOLCIM</b>	
CM-CIC Market Solutions	CIC is a bond market maker for LafargeHolcim Ltd
<b>LANSON-BCC</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of LANSON-BCC
<b>LEHTO GROUP</b>	
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement
<b>LLEIDANETWORKS SERVEIS TELEMATICS</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue
GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>LUZ SAUDE</b>	
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
<b>LVMH</b>	
CM-CIC Market Solutions	CIC is a bond market maker for LVMH Moët Hennessy Vuitton.
<b>MAIRE TECNIMONT</b>	
Banca Akros	Banca Akros acted as Joint Bookrunner of the bond issuance organized in April 2018
<b>MAISONS FRANCE CONFORT</b>	
CM-CIC Market Solutions	CIC provides liquidity to Maisons France Confort
<b>MAUREL ET PROM</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Etablissements Maurel et Prom





# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
<b>MELIÁ HOTELS INTERNATIONAL, S.A.</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>MEMSCAP</b> CM-CIC Market Solutions	CIC provides liquidity to Memscap. Arnaud Riverain signed a research contract with the company Memscap, with CIC acting as sole distributor. CIC provides investor marketing services to Memscap.
<b>MERCAL INMUEBLES SOCIMI</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>MERCIALYS SA</b> CM-CIC Market Solutions	CM-CIC Market Solutions was active bookrunner of the bond issued in February 2018
<b>MOTA ENGLI</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed Shares where the Member and/or its Group holds more than (A) (*) The Member and/or its Group had an Investment Banking services client relationship (B) The Member and/or its Group holds a position in debt securities The Member and/or its Group provided debt financing (B) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>MOTOR OIL STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>MYTILINEOS HOLDINGS STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>NATIONAL BANK OF GREECE STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>NATIONAL BANK OF GREECE STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>NBI BEARINGS EUROPE</b> GVC Gaesco Beka GVC Gaesco Beka GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member is Nominated Adviser on AIM Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>NEOL BIOSOLUTIONS</b> GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>NEURON BIO</b> GVC Gaesco Beka GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member is Nominated Adviser on AIM
<b>NEURONES</b> CM-CIC Market Solutions	CIC provides liquidity to Neuronnes
<b>NOS</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Shares where the Member and/or its Group holds more than (A) (*) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group provided debt financing (B)
<b>NOVABASE</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member and/or its Group provided debt financing (B) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group holds a position in debt securities
<b>NRJ GROUP</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale markets under license the NRJ Mobile brand



# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
<b>ONCODESIGN</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of Oncodesign.
<b>OPAP STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>OPAP STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>OPENJOBMETIS</b> Banca Akros	Banca Akros is acting as corporate broker
<b>ORANGE</b> CM-CIC Market Solutions	CIC is a bond market maker for Orange. Crédit Mutuel Alliance Fédérale holds over 0,5% of Orange.
<b>ORSERO</b> Banca Akros	Banca Akros is acting as Nomad (AIM)
<b>OSMOZIS</b> CM-CIC Market Solutions	CIC provides liquidity and is the listing sponsor of Osmosis.
<b>PERNOD RICARD</b> CM-CIC Market Solutions	CIC is a bond market maker for Pernod Ricard
<b>PHAROL</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group holds a position in debt securities The Member and/or its Group provided debt financing (B) Shares where the Member and/or its Group holds more than (A) (*)
<b>PIAGGIO</b> Banca Akros	Banca Akros acted as Joint Bookrunner of the bond issuance organized in April 2018
<b>PIERRE ET VACANCES</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of PIERRE ET VACANCES SA.
<b>PININFARINA</b> Banca Akros	Banca Akros is a specialist or liquidity provider (MTA)
<b>PIRAEUS BANK STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>PIRAEUS BANK STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>PIRAEUS PORT AUTHORITY S.A. STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>PLC</b> Banca Akros	Banca Akros is a specialist or liquidity provider (MTA)
<b>PRIMA INDUSTRIE</b> Banca Akros	Banca Akros is acting as corporate broker
<b>PUBLIC POWER CORP. STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>PUBLIC POWER CORP. STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>PUBLICIS</b> CM-CIC Market Solutions	CIC is a bond market maker for Publicis.
<b>QF INVESTIETICO</b> Banca Akros	Banca Akros is a specialist or liquidity provider (closed-end funds)
<b>QF MEDIOLANUM RE A</b>	



# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
Banca Akros	Banca Akros is a specialist or liquidity provider (closed-end funds)
<b>QF MEDIOLANUM RE B</b> Banca Akros	Banca Akros is a specialist or liquidity provider (closed-end funds)
<b>QUONIA Socimi, S.A.</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>RALLYE</b> CM-CIC Market Solutions	CIC is a bond market maker for Rallye
<b>REN</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member and/or its Group had an Investment Banking services client relationship (B) Shares where the Member and/or its Group holds more than (A) (*) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
<b>REXEL</b> CM-CIC Market Solutions	CIC is a bond market maker for Rexel.
<b>RUBIS</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of Rubis.
<b>SACYR</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Sacyr Vallehermoso SA.
<b>SAFILO</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Safilo Group SPA.
<b>SAFRAN</b> CM-CIC Market Solutions	CIC is a bond market maker for Safran. CM-CIC Market Solutions was Global Coordinator of the bond issued in July 2018.
<b>SAINT GOBAIN</b> CM-CIC Market Solutions	CIC is a bond market maker for Saint-Gobain. Crédit Mutuel Alliance Fédérale holds over 0.5% of Saint-Gobain.
<b>SALINI IMPREGILO</b> Banca Akros	Banca Akros acted as Lead Manager of the pertinent consortium for the placement of the negotiable bonds organized in October 2017
<b>SARAS</b> Banca Akros	Banca Akros acted as Lead Manager of the pertinent consortium for the placement of the negotiable bonds organized in Decemeber 2017
<b>SECUOYA GRUPO COMUNICACION</b> GVC Gaesco Beka GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member is Nominated Adviser on AIM
<b>SEMAPA</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
<b>SERGEFERRARI GROUP</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of SERGE FERRARI GROUP
<b>SESA</b> Banca Akros	Dual administrator role in related parties
<b>SG COMPANY</b> Banca Akros	Banca Akros is a specialist or liquidity provider (AIM)
<b>SIAS</b>	



# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
Banca Akros	Banca Akros is a specialist or liquidity provider. (TAH)
Banca Akros	Banca Akros acted as Lead Manager of the consortium for the placement of the negotiable bonds organized in January 2018
Banca Akros	Banca Akros is a specialist or liquidity provider. (MTA)
<b>SII</b>	
CM-CIC Market Solutions	CIC provides liquidity to SII. CIC provides Financial Communication services to SII.
<b>SOCIETE FONCIERE LYONNAISE S.A.</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Société Foncière Lyonnaise S.A.
<b>SOCIETE GENERALE</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Société Générale.
<b>SODEXO</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Sodexo
<b>SOGEFI</b>	
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Sogefi SPA.
<b>SOLARIA CASIOPEA</b>	
GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>SOLUTION CAPITAL MGMT</b>	
Banca Akros	Banca Akros is a specialist or liquidity provider (AIM)
<b>SOLUTIONS CAPITAL MGMT</b>	
Banca Akros	As at 31 October 2018 Banca Akros was holding a net long position of 1.24% of the issuer's share capital
<b>SONAE</b>	
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds
CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0.5% of Sonae SGPS SA.
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)
<b>SONAE CAPITAL</b>	
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)
<b>SONAE INDUSTRIA</b>	
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>SRV GROUP</b>	
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>SSAB</b>	
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>STUDENT PROPERTIES SPAIN SOCIMI, S.A.</b>	
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
GVC Gaesco Beka	The Member is Nominated Adviser on AIM
<b>TALLINK</b>	



# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
Op Corporate Bank	The Member acted as the Finnish financial advisor to the Company in connection with the listing and issuance of FDRs
<b>TECHNIPFMC Pic</b> CM-CIC Market Solutions	CIC is a bond market maker for TechnipFMC Pic
<b>TELECOM ITALIA</b> CM-CIC Market Solutions	CIC is a bond market maker for Telecom Italia
<b>TELEFONICA</b> CM-CIC Market Solutions	CIC is a bond market maker for Telefonica
<b>TELESIA</b> Banca Akros Banca Akros	Banca Akros is a specialist or liquidity provider (AIM) As at 31 October 2018 Banca Akros was holding a net long position of 1.10% of the issuer's share capital (MTA)
<b>TERNA</b> Banca Akros	Banca Akros acted as Joint Bookrunner of the bond issuance organized in July 2018
<b>TERNA ENERGY S.A. STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>TERREIS</b> CM-CIC Market Solutions	Claire DERAY has signed a research contract with Terreis and CIC is the exclusive distributor of such research.
<b>THALES</b> CM-CIC Market Solutions	CIC is a bond market maker for Thales. CM- CM-CIC Market Solutions was bookrunner of the bond issued in January 2018.
<b>THE NAVIGATOR</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Any other known Non-Investment Banking Service provided (B) The Member and/or its Group provided debt financing (B) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member and/or its Group holds a position in debt securities
<b>THERMADOR GROUPE</b> CM-CIC Market Solutions	Crédit Mutuel Alliance Fédérale holds over 0,5% of Thermador Groupe.
<b>TIER1 TECHNOLOGY S.A.</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>TIETO</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed
<b>TITAN CEMENT COMPANY STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>TOD'S</b> Banca Akros	Dual administrator role in related parties
<b>TOTAL</b> CM-CIC Market Solutions	CIC is a bond market maker for Total. Crédit Mutuel Alliance Fédérale holds over 0,5% of Total.
<b>TRAJANO IBERIA SOCIMI</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)
<b>UNIBAIL-RODAMCO</b> CM-CIC Market Solutions	CIC is a bond market maker for Unibail-Rodamco. CM-CIC Market Solutions was Co-Lead Manager of the Senior and Hybrid bond issued in April and May 2018.
<b>VALLOUREC</b> CM-CIC Market Solutions	CIC is a bond market maker for Vallourec. CM-CIC Market Solutions was Co-Manager of the bond issued in April 2018. Crédit Mutuel Alliance Fédérale holds over 0.5% of Vallourec.
<b>VEI1 /SPAC</b> Banca Akros Banca Akros Banca Akros	Banca Akros is a specialist or liquidity provider (AIM) Banca Akros acted as Global Coordinator for the IPO organized in January and February 2018 (AIM) Banca Akros is acting as Nomad (AIM)
<b>VINCI</b>	



# List of shares in potential Conflicts of Interest (by issuer)

Dec 18

Issuer and ESN Member	Conflict Description
CM-CIC Market Solutions	CIC is a bond market maker for Vinci and was bookrunner of the bond issued in September 2018. Crédit Mutuel Alliance Fédérale holds over 0,5% of Vinci.
<b>VISIBILIA EDITORE</b>	
Banca Akros	As at 31 October 2018 Banca Akros was holding a net long position of 0.88% of the issuer's share capital (AIM)
Banca Akros	Banca Akros is a specialist or liquidity provider (AIM)
<b>VITTORIA ASSICURAZIONI</b>	
Banca Akros	Banca Akros coordinated the OPAS (share exchange and tender offer combination) recruiting operation for the capital raising placement and guarantee consortium in July and August 2018
Banca Akros	Banca Akros acted as Joint Lead Manager of the bond issuance organized in July 2018
<b>VIVENDI</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Vivendi
<b>VODAFONE</b>	
CM-CIC Market Solutions	CIC is a bond market maker for Vodafone
<b>VOGO</b>	
CM-CIC Market Solutions	CM-CIC Market Solutions was global coordinator, lead manager, associate bookrunner, stabilization agent and listing sponsor for the IPO in November 2018. Crédit Mutuel Alliance Fédérale holds a net long position of 0.5% or more in Vogo. CIC is a liquidity
<b>YIT</b>	
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed

## Legend

<b>(all)</b>	(*) The % can vary from member to Member and depends on what is compulsory requested by the local law of the country of each Member
	(**) The Member is compensated through the payment of a fee
	(***) Where the rule is not specified, the Member was part of the consortium for the placement as a simple participant in consortium
	(C) in the coming 3 months
<b>Finland</b>	(A) 5%
	(B) in the last 12 months
<b>France</b>	(A) 5%
	(B) in the last 12 months
<b>Germany</b>	(A) 3%
	(B) between 2004 and 2007
<b>Greece</b>	(A) 5%
	(B) in the last 12 months
<b>Italy</b>	(A) 2%
	(B) in the last 12 months
<b>Netherlands</b>	(A) 5%
	(B) in the last 12 months
<b>Portugal</b>	(A) 2%
	(B) in the last 12 months
<b>Spain</b>	(A) 3%
	(B) in the last 12 months