



List of shares in potential Conflicts of Interest (by conflict case)

luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed				
CM-CIC	Danske Markets Equities	gen 10	Co-Lead Manager	
The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed				
DANSKE ANDELKASSER	Danske Markets Equities	giu 10	Lead Manager	
The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed				
GOLDMAN SACHS	Danske Markets Equities	mar 10	Co-Lead Manager	
IRISH LIFE AND PERMANENT	Danske Markets Equities	mar 10	Lead Manager	
KFW	Danske Markets Equities	gen 10	Co-Lead Manager	
The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement				
NYKREDIT	Danske Markets Equities	mar 10	Financial Advisor of the Company	
The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed				
STADSHYPOTEK	Danske Markets Equities	giu 10	Lead Manager	
SVENSK EXPORTKREDIT	Danske Markets Equities	giu 10	Co-Lead Manager	
UBS	Danske Markets Equities	apr 10	Lead Manager	
Shares of companies part of the Member Group				
BANCA POP. DI MILANO	Banca Akros			
CAJA MADRID	Caja Madrid			Caja Madrid (100%)
MARFIN EGNATIA BANK	IBG	giu 10	Listing Partner	
MARRFIN POPULAR BANK	IBG	giu 10	Listing Partner	
A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company				
A2A	Banca Akros			
CIMPOR	Caixa Banco De Investimento			
EDP	Caixa Banco De Investimento			
PORTUGAL TELECOM	Caixa Banco De Investimento			
SUMOL+COMPAL	Caixa Banco De Investimento			
ZON MULTIMEDIA	Caixa Banco De Investimento			
Shares where the Member and/or its Group holds more than (A) (*)				
AEDIFICA	Bank Degroof			
BCP	Caixa Banco De Investimento			
BOLSAS Y MERCADOS ESPAÑOLES	Caja Madrid			
BRISA	Caixa Banco De Investimento			
CIMPOR	Caixa Banco De Investimento			
EDP	Caixa Banco De Investimento			
FIERA DI MILANO	Banca Akros			
FOUNTAIN	Bank Degroof			
GRUPO SOS	Caja Madrid			
IBERIA	Caja Madrid			
INDRA SISTEMAS	Caja Madrid			
MAPFRE	Caja Madrid			
MECALUX	Caja Madrid	lug 08		
METROVACESA	Caja Madrid	feb 09		
NH HOTELES	Caja Madrid			
PORTUGAL TELECOM	Caixa Banco De Investimento			
PROXIMEDIA	Bank Degroof			
REALIA	Caja Madrid			
REN	Caixa Banco De Investimento			
SUMOL+COMPAL	Caixa Banco De Investimento			
VAN LANSCHOT	SNS Securities			
VISTA ALEGRE ATLANTIS	Caixa Banco De Investimento			
ZON MULTIMEDIA	Caixa Banco De Investimento			
Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)				
4SC	equinet			
ACCELL GROUP	SNS Securities			
AD PEPPER MEDIA	equinet			
ADVANCED VISION	equinet			
AEDIFICA	Bank Degroof			
AIXTRON	equinet			
AMPLIFON	Banca Akros			
AMSTERDAM COMMODITIES	SNS Securities			
AMT HOLDING	SNS Securities			
ATENOR	Bank Degroof			
BANCA IFIS	Banca Akros			
BANCA POPOLARE EMILIA ROMAGNA	Banca Akros			
BANIMMO	Bank Degroof			
BATENBURG BEHEER	SNS Securities			
BESI SEMICONDUCTOR	SNS Securities			
BEST UNION COMPANY	Banca Akros			fine specialist il 21/5/2010 - mantenere 1 anno
BETA SYSTEMS	equinet			
BIESSE	Banca Akros			
BIOPETROL	equinet			
BIOTEST	equinet			
BOIS SAUVAGE	Bank Degroof			
CENIT	equinet			
CROWN VAN GELDER	SNS Securities			
CTAC	SNS Securities			
D'IETEREN	Bank Degroof			
D.LOGISTICS	equinet			



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Luglio 10

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DALDRUP & SÖHNE	equinet			
DF DEUTSCHE FORFAIT	equinet			
DNICK	equinet			
DOCDATA	SNS Securities			
DOCKWISE	SNS Securities			
DPA FLEX GROUP	SNS Securities			
DUVEL	Bank Degroof			
EL.EN SPA	Banca Akros			
ELIA	Bank Degroof			
ENERVIT SPA	Banca Akros			
FLORIDIENNE	Bank Degroof			
FORNIX BIOSCIENCES	SNS Securities			
GAMMA HOLDING	SNS Securities			
GASPLUS	Banca Akros			dal 6/5/2010
GESCO	equinet			
GFT	equinet			
GIMV	Bank Degroof			
GOWEX	Caja Madrid	mar 10		
GRANITI FIANDRE	Banca Akros			
HES BEHEER	SNS Securities			
HOLLAND COLOURS	SNS Securities			
I GRANDI VIAGGI	Banca Akros			
I.R.I.S.	Bank Degroof			
I.FAO	equinet			
IBT	Bank Degroof			
ICT AUTOMATISING	SNS Securities			
INNOCONCEPTS	SNS Securities			
INTEGRALIS	equinet			
INTERSEROH	equinet			
INTERVEST RETAIL	Bank Degroof			
IPTE	Bank Degroof			
ISRA VISION SYSTEMS	equinet			
ITELIGENCE	equinet			
KENDRION	SNS Securities			
KINEPOLIS	Bank Degroof			
KONINKLIJKE BRILL	SNS Securities			
KTG AGRAR	equinet			
LBI INTERNATIONAL AB	SNS Securities			
LEASINVEST	Bank Degroof			
LOGWIN	equinet			
LUXEMPART	Bank Degroof			
MONTEA	Bank Degroof			
NANOGATE	equinet			
NEDAP	SNS Securities			
NEWAYS ELECTRONICS INTERNATIONAL	SNS Securities			
NEXUS	equinet			
PHARMING GROUP	SNS Securities			
PINGUIN	Bank Degroof			
PRIMA INDUSTRIE	Banca Akros			from 22/06/2009
PUNCH GRAPHIX	SNS Securities			
OF INVESTIETICO	Banca Akros			
REALCO	Bank Degroof			
RESILUX	Bank Degroof	mar 08		
ROOD MICROTEC	SNS Securities			
SAPEC	Bank Degroof			
SEVEN PRINCIPLES	equinet			
SMT SCHARF	equinet			
SOLAR FABRIK	equinet			
STERN GROEP	SNS Securities			
SUESS MICROTEC	equinet			
SUNWAYS	equinet			
TER BEKE	Bank Degroof			
TMC	SNS Securities			
TWINTEC	equinet			
VAN DE VELDE	Bank Degroof			
VBH	equinet			
ZUCCHI ORD & SAV	Banca Akros			
Shares where the Member is "Liquidity Provider" or "Market-maker" (**)				
A NOVO	CM-CIC Securities			
ADL PARTNER	CM-CIC Securities			
ADVA	equinet			
ADVANTAGE OIL	equinet			
ADVANTEST	equinet			
AEDIAN	CM-CIC Securities			
AGROTON	equinet			
AKKA TECHNOLOGIES	CM-CIC Securities			
ALLIANCE RESOURCES	equinet			
ALPHA BANK S.A	IBG	giu 10		
ALPHA BANK STOCK FUTURE	IBG	giu 10		
ALTRI	Caixa Banco De Investimento			
AMEDISYS	equinet			
ANACONDA GOLD	equinet			



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luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
ANATOLIA MINLS	equinet			
ANHEUSER BUSCH	equinet			
APPLIED SIGNAL TECH.	equinet			
ARC EXPLORATION	equinet			
ARGON ST	equinet			
ASHBURTON MINRLS	equinet			
ATE STOCK FUTURE	IBG	giu 10		
AURAGENIX	equinet			
AUSTRAL AFRICA	equinet			
AZURE MINERALS	equinet			
BAINS MER MONACO	equinet			
BANK OF CYPRUS PUBLIC COMPANY LTD	IBG	giu 10		
BANK OF CYPRUS STOCK FUTURE	IBG	giu 10		
BAVARIA INDUSTRIEKAPITAL	equinet			
BB BIOTECH	equinet			
BEARLAKE GOLD	equinet			
BENDIGO	equinet			
BENDIGO AND ADELAIDE BANK	equinet			
BENITEC	equinet			
BENITEC	equinet			
BERENTZEN GRUPPE	equinet			
BFCM	CM-CIC Securities			
BIO-GATE	equinet			
BIOALLIAN.PHARMA.	CM-CIC Securities			
BIOPETROL	equinet			
BIOTA	equinet			
BIOTEST	equinet			
BITTERROOT	equinet			
BLUE NILE	equinet			
BRAVADA GOLD	equinet			
BRENNTAG	equinet			
BRENNTAG	equinet			
BSB INTERNATIONAL	Bank Degroof	feb 10		
BULLION RIVER GOLD	equinet			
CAPELLI	CM-CIC Securities			
CARPATHIAN GOLD	equinet			
CATALIS	equinet			
CB RETAIL INV	equinet			
CBH RESOURCES	equinet			
CDN ZINC	equinet			
CEGID S.A.	CM-CIC Securities			
CENT EURO	equinet			
CEOTRONICS	equinet			
CHALLENGER DIVERSIFIED	equinet			
CIC CAT.A	CM-CIC Securities			
CLOUD PEAK ENERGY	equinet			
COCA COLA E.E.E. S.A.	IBG	giu 10		
COCA COLA E.E.E. STOCK FUTURE	IBG	giu 10		
COEUR D'ALENE	equinet			
COFINA	Caixa Banco De Investimento			
COGNIZANT TECH	equinet			
COMPETITIVE TECH	equinet			
CONNECTEAST	equinet			
CONVISUAL	equinet			
CORPORATE EQUITY PARTNERS	equinet			
CORVETTE RES.	equinet			
COTT	equinet			
CROWFLIGHT	equinet			
CS GROUP	equinet			
D.LOGISTICS	equinet			
DALDRUP & SÖHNE	equinet			
DE GREY MINING	equinet			
DEMAG CRANES	equinet			
DENISON MINES	equinet			
DEUTSCHE IMMOBILIEN HOLDING	equinet			
DEUTSCHE STEINZEUG	equinet			
DF DEUTSCHE FORFAIT	equinet			
DNICK	equinet			
DRDGOLD	equinet			
DRI	equinet			
EASTMAIN	equinet			
ECHOGLOBAL	equinet			
EFG EUROBANK ERGASIAS S.A.	IBG	giu 10		
EFG EUROBANK ERGASIAS STOCK FUTURE	IBG	giu 10		
EL ALTO	equinet			
ELLAKTOR S.A.	IBG	giu 10		
ELLINIKI TECNODOMIKI TEB STOCK FUTURE	IBG	giu 10		
EOP BODIESEL	equinet			
EPIGENOMICS	equinet			
ESI GROUP	CM-CIC Securities			
EURO GOLDFIELDS	equinet			
EVOLIS	CM-CIC Securities			



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Luglio 10

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EXEL INDUSTRIES A	CM-CIC Securities			
EXPRESS INC	equinet			
FIRESTONE ENERGY	equinet			
FIRST AUST	equinet			
FLEURY MICHON	CM-CIC Securities			
FLINDERS	equinet			
FONCIERE ATLAND	CM-CIC Securities			
FONCIERE DES 6 ET 7EME	CM-CIC Securities			
FONCIERE MASSENA	CM-CIC Securities			
FREENET.DE	equinet			
FROGSTER INTERACTIVE PICTURES	equinet			
FUNKWERK	equinet			
GABRIEL	equinet			
GBK BETEILIGUNGEN	equinet			
GEK TERNA HOLDING, REAL ESTATE, CONSTRUCTION S.A.	IBG	giu 10		
GEK STOCK FUTURE	IBG	giu 10		
GENERIX	CM-CIC Securities			
GENFIT	CM-CIC Securities			
GL EVENTS	CM-CIC Securities	set 07		
GOLDBANK MINING	equinet			
GOLDEN GOLIATH	equinet			
GOLDEN PHOENIX MINERALS	equinet			
GOYELLOW	equinet			
GREAT BASIN GLD	equinet			
GREEK ORGANIZATION OF FOOTBALL PROGNOSTICS S.A. (OPAP)	IBG	giu 10		
GREEK POSTAL BANK STOCK FUTURE	IBG	giu 10		
GROUPE BOURBON	CM-CIC Securities			
GROUPE OPEN	CM-CIC Securities			
GRUPO ELEKTRA (LATIBEX S.E.)	Caja Madrid			
GRUPO IUSACELL (LATIBEX S.E.)	Caja Madrid			
GUILLEMOT	CM-CIC Securities			
GULF ISLAND	equinet			
HAMPTON ROADS BANKSHARES	equinet			
HELLENIC EXCHANGES S.A. (EXAE)	IBG	giu 10		
HELLENIC EXCHANGES STOCK FUTURE	IBG	giu 10		
HELLENIC PETROLEUM STOCK FUTURE	IBG	giu 10		
HELLENIC TELECOM.ORGANISATION S.A	IBG	giu 10		
HELLENIC TELECOM.ORGANISATION STOCK FUTURE	IBG	giu 10		
HELLENIC TELECOM.ORGANISATION STOCK OPTION	IBG	giu 10		
HF COMPANY	CM-CIC Securities			
HIGHLANDS	equinet			
HKN	equinet			
HYDROGEN DYNAMICS	equinet			
IBERSOL	Caixa Banco De Investimento			
IBS	equinet			
IDENTIVE GROUP	equinet			
INAPA	Caixa Banco De Investimento			
ING GROEP	equinet			
INTEGRALIS	equinet			
INTER PARFUMS	equinet			
INTICA	equinet			
INTRACOM STOCK FUTURE	IBG	giu 10		
INTRALOT S.A.	IBG	giu 10		
INTRALOT STOCK FUTURE	IBG	giu 10		
ITRNONICS	equinet			
IVU TRAFFIC TECHNOLOGIES	equinet			
JETTER	equinet			
JOYOU	equinet			
KAR AUCTION SERVICES	equinet			
KEYRUS	CM-CIC Securities			
KIMBER	equinet			
KIRKLAND LAKE	equinet			
KNOLOGY	equinet			
KOFLER ENERGIES POWER	equinet			
KTG AGRAR	equinet			
L'EAU DU CIEL	equinet			
LACIE	CM-CIC Securities			
LE TANNEUR	CM-CIC Securities			
LIONS GATE	equinet			
LOEWE	equinet			
LYCOS	equinet			
LYNAS	equinet			
MAANSHAN IRON	equinet			
MACHINERY & INDUSTRIAL	equinet			
MAG SILVER	equinet			
MAISONS FRANCE	CM-CIC Securities			
MARTIFER	Caixa Banco De Investimento			
MATRIX SERVICE	equinet			
MATTHEWS	equinet			
MAX AUTOMATION	equinet			
MCB AGRICOLE	equinet			
MCC GLOBAL	equinet			



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Luglio 10

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MDN	equinet			
MEDNAX	equinet			
MEMSCAP	CM-CIC Securities			
METABOLIC EXPLORER	CM-CIC Securities			
METKA STOCK FUTURE	IBG	giu 10		
MHP SA	equinet			
MINARA	equinet			
MINDSCAPE	CM-CIC Securities			
MINING PROJECTS	equinet			
MITSUI	equinet			
MOBOTIX	equinet			
MOLOGEN	equinet			
MOMENTA	equinet			
MOTOR OIL STOCK FUTURE	IBG	giu 10		
MRIYA GOLD	equinet			
MRM	CM-CIC Securities			
MTU AERO ENGINES	equinet			
MUEHLHAN	equinet			
MYTILINEOS HOLDINGS STOCK FUTURE	IBG	giu 10		
NANOFOCUS	equinet			
NATIONAL BANK OF GREECE S.A.	IBG	giu 10		
NATIONAL BANK OF GREECE STOCK FUTURE	IBG	giu 10		
NATIONAL BANK OF GREECE STOCK OPTION	IBG	giu 10		
NATL OILWELL	equinet			
NEMETSCHKE	equinet			
NESTLE	equinet			
NEURONES	CM-CIC Securities			
NORD STAR PHARMASHARE	equinet			
NORTHERN DYNAST	equinet			
NOVAGOLD	equinet			
NOVAVISIONS	equinet			
OHB TECHNOLOGY	equinet			
OLYMPUS	equinet			
OPAP STOCK FUTURE	IBG	giu 10		
OPAP STOCK OPTION	IBG	giu 10		
OPTIMUM COAL HOLDINGS	equinet			
OREY ANTUNES	Caixa Banco De Investimento			
OROYA MINING	equinet			
PAC RIDGE EXPL	equinet			
PALADIN RSC	equinet			
PAN AUST RSC	equinet			
PANERA BREAD	equinet			
PANORAMIC	equinet			
PASSAT	CM-CIC Securities			
PETMED EXPRESS	equinet			
PIRAEUS BANK S.A.	IBG	giu 10		
PIRAEUS BANK STOCK FUTURE	IBG	giu 10		
PLASTIC OMNIUM	CM-CIC Securities			
PLIM	equinet			
PM PAN MINERALS	equinet			
POWERLINX	equinet			
PRO SERV CAPITAL	equinet			
PROVIDENCE	equinet			
PSI	equinet			
PUBLIC POWER CORP. STOCK OPTION	IBG	giu 10		
PUBLIC POWER CORP.STOCK FUTURE	IBG	giu 10		
PUBLIC POWER CORPORATION S.A.	IBG	giu 10		
REDITUS	Caixa Banco De Investimento			
REDEELSEV. SP ADRS	equinet			
RICHLY FIELD CHINA	equinet			
ROBECO	equinet			
ROCHE HOLDING	equinet			
ROCKWELL DIAMONDS	equinet			
ROLINCO	equinet			
RUSHYDRO	equinet			
SARACEN MINERAL	equinet			
SCORPIO MINING	equinet			
SECURIDEV	CM-CIC Securities			
SEVEN PRINCIPLES	equinet			
SHF COMMUNICATIONS	equinet			
SHILOH INDS	equinet			
SIDENOR STOCK FUTURE	IBG	giu 10		
SIGMA PHARMACEUTICAL	equinet			
SIHAYO GOLD	equinet			
SII	CM-CIC Securities			
SILVRCRST MINES	equinet			
SINTAL AGRICULTURE PUBLIC	equinet			
SOARES DA COSTA	Caixa Banco De Investimento			
SOCIETE CENTRALE DES BOIS ET SCIERIES DE LA MANCHE	CM-CIC Securities			
SOGCLAIR	CM-CIC Securities			
SOLAR FABRIK	equinet			
SOLVAY	equinet			



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Luglio 10

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SPARTECH	equinet			
SPARTON	equinet			
SPIR COMMUNICATION	CM-CIC Securities			
SPOTLESS	equinet			
STARPHARMA	equinet			
STRAYER EDUCAT	equinet			
STRIKE OIL	equinet			
SUNRIDGE GOLD	equinet			
SUPERIOR PLUS	equinet			
SUZLON ENERGY	equinet			
SYSTAR	CM-CIC Securities	dic 09		
TALECRIS BIOTHERAP	equinet			
TANAMI GOLD	equinet			
TEN NETWORK	equinet			
TESSI	CM-CIC Securities			
TFS CORP	equinet			
THE MIDDLEBY	equinet			
TIGER RESOURCES	equinet			
TINKA RESOURCES	equinet			
TITAN CEMENT COMPANY STOCK FUTURE	IBG	giu 10		
TITANIUM	equinet			
TKS REAL ESTATE	equinet			
TMM REAL ESTATE	equinet			
TOGNUM	equinet			
TRANSPACIFIC	equinet			
TRIOLOGIQ	CM-CIC Securities			
TUMI RSCS	equinet			
TV AZTECA (LATIBEX S.E.)	Caja Madrid			
TVI PACIFIC	equinet			
TWINTEC	equinet			
U10	CM-CIC Securities			
UMPOUA	equinet			
UNITED ENERGY	equinet			
URBAN OUTFITTER	equinet			
UTIMACO SAFEWARE	equinet			
VANGOLD	equinet			
VIOHALCO STOCK FUTURE	IBG	giu 10		
VOLKSWAGEN	equinet			
VOYAGER RESOURCES	equinet			
VTG	equinet			
VTION WIRELESS TECH	equinet			
WHISTLER GOLD EXPLORATION	equinet			
X CAL RESOURCES	equinet			
ZBB ENERGY	equinet			
ZON MULTIMEDIA	Caixa Banco De Investimento			
ZUER. FINANZKONT.	equinet			

The Member is Nominated Adviser on AIM

NEUROSOFT	Banca Akros
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The Member participated in consortium for underwriting and/or placement (B) () - Shares - IPO/Public Offers for Selling and/or Subscription**

ADP	CM-CIC Securities	giu 06	
AEDIFICA	Bank Degroof	ott 06	Lead Manager
AIR ENERGY	Bank Degroof	mar 07	Lead Manager
AKKA TECHNOLOGIE	CM-CIC Securities	mar 05	
ARSEUS	Bank Degroof	set 07	Co-Lead Manager
BANIMMO	Bank Degroof	giu 07	Lead Manager
BCP	Caixa Banco De Investimento		
BOIS SAUVAGE	Bank Degroof	dic 06	Playing Agent
CAPELLI	CM-CIC Securities	ott 05	
CFE	Bank Degroof	ott 06	Co-Lead Manager
CHR. HANSEN HOLDING	Danske Markets Equities	giu 10	Joint-Lead Manager
CLARINS	CM-CIC Securities	ago 08	
COSTIMEX	CM-CIC Securities	gen 08	
DALDRUP & SÖHNE	equinet		
DF DEUTSCHE FORFAIT	equinet		
ECODIS	Bank Degroof	giu 07	Lead Manager
EDF	CM-CIC Securities	nov 05	
EDP RENOVAVEIS	Caixa Banco De Investimento		
EVADIX	Bank Degroof	giu 06	Lead Manager
EVOLIS	CM-CIC Securities	mar 06	
FONCIERE ATLAND	CM-CIC Securities	dic 06	
FONCIERE DES 6 ET 7EME	CM-CIC Securities	mar 07	
FONCIERE EUROPE LOGISTIQUE	CM-CIC Securities	gen 07	
FONCIERE MASSENA	CM-CIC Securities	gen 07	
GALP ENERGIA	Caixa Banco De Investimento		
GAZ DE FRANCE	CM-CIC Securities	lug 05	
GL EVENTS	CM-CIC Securities	nov 05	
GOWEX	Caja Madrid	mar 10	
IMS INTL METAL SCE	CM-CIC Securities	ott 06	
KTG AGRAR	equinet		
LATECOERE	CM-CIC Securities	giu 05	
MARTIFER	Caixa Banco De Investimento		



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Luglio 10

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MB ELECTRONIQUE	CM-CIC Securities	mar 07		
MEDIA CAPITAL	Caixa Banco De Investimento			
MERCYALIS	CM-CIC Securities	ott 05		
METABOLIC EXPLORER	CM-CIC Securities			
METEX	CM-CIC Securities	apr 07		
MINDSCAPE	CM-CIC Securities	dic 07		
NATIXIS	CM-CIC Securities	nov 06		
NATUREX	CM-CIC Securities	gen 05		
NEUF CEGETEL	CM-CIC Securities	mag 08		
NEXTRADIO TV	CM-CIC Securities	ott 05		
NOVABASE	Caixa Banco De Investimento			
NYRSTAR	Bank Degroof	ott 07	Co-Manager	
ORAPI	CM-CIC Securities	ago 09		
PAREF	CM-CIC Securities	apr 07		
PORTHUS	Bank Degroof	ott 06	Co-Lead Manager	
PORTUCEL	Caixa Banco De Investimento			
PRODWARE	CM-CIC Securities	mar 06		
REN	Caixa Banco De Investimento			
REXEL	CM-CIC Securities	apr 07		
SANEF	CM-CIC Securities	mar 05		
SASA INDUSTRIE	CM-CIC Securities	mar 07		
SIDETRADE	CM-CIC Securities	giu 05		
SOCIETE GENERALE	CM-CIC Securities	feb 08		
SOREFICO COIFFURE	CM-CIC Securities	dic 08		
THERMOCOMPACT	CM-CIC Securities	apr 07		
TRIOLOGIQ	CM-CIC Securities	nov 06		
TWINTEC	equinet			
VINCI	CM-CIC Securities	apr 06		
YOOX S.P.A.	Banca Akros			11/09 - mantenere fino a 11/2010
The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement				
AP MØLLER MÆRSK	Danske Markets Equities	set 09	Financial Advisor of the Company	
BIOPETROL	equinet			
BIOTEST	equinet			
COMPOSITE SCANDINAVIA	Danske Markets Equities	mar 10	Financial Advisor of the Company	
DALDRUP & SÖHNE	equinet			
DANTHERM FILTRATION	Danske Markets Equities	mar 10	Financial Advisor of the Company	
DFDS	Danske Markets Equities	dic 09	Joint-Lead Manager	
EUROMICRON	equinet			
GESCO	equinet			
JUPITER GROUP	Danske Markets Equities	dic 09	Financial Advisor of the Company	
KFSK	Danske Markets Equities	feb 10	Financial Advisor of the Company	
KTG AGRAR	equinet			
LBI INTERNATIONAL	Danske Markets Equities	feb 10	Financial Advisor of the Company	
LD EQUITY 1	Danske Markets Equities	feb 10	Financial Advisor of the Company	
MAX AUTOMATION	equinet			
MECOM	Bank Degroof	mar 08	Financial Advisor of the Company	
NORVESTOR EQUITY	Danske Markets Equities	lug 10	Financial Advisor of the Company	
ODENSE STEEL SHIPYARD	Danske Markets Equities	set 09	Financial Advisor of the Company	
SINGULUS TECHNOLOGIES	equinet			
SIXTH SWEDISH NATIONAL PENSION FUND	Danske Markets Equities	gen 10	Financial Advisor of the Company	
SMT SCHARF	equinet			
SYDBANK	Danske Markets Equities	set 09	Joint-Lead Manager	
SÜSS MICROTEC	equinet			
TRITON PARTNERS	Danske Markets Equities	feb 10	Exclusive Financial Advisor	
UNION ENGINEERING	Danske Markets Equities	apr 10	Financial Advisor of the Company	
VALEDO PARTNERS	Danske Markets Equities	lug 10	Financial Advisor of the Company	
VBH	equinet			
VITESSE	Danske Markets Equities	feb 10	Financial Advisor of the Company	
VOXSON CARL-L ERIKSSON	Danske Markets Equities	ott 09	Financial Advisor of the Company	
ZAPF CREATION	equinet			
The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue				
AAP IMPLANTATE	equinet			
AFIRMA	Caja Madrid	feb 09		
BANCA IFIS	Banca Akros			DAL 28/6/2010 AL 16/7/2010
BCP	Caixa Banco De Investimento			
BES	Caixa Banco De Investimento			
CISION	Danske Markets Equities	feb 10	Joint-Lead Manager	
COFINIMMO	Bank Degroof	dic 09	Lead Manager	
EDP	Caixa Banco De Investimento			
FORTIS BANK	Bank Degroof	ott 07	Co-Manager	
GBK BETEILIGUNGEN	equinet			
JAZZTEL	Caja Madrid	giu 09		
JEUDAN	Danske Markets Equities	mar 10	Lead Manager	
NEUROSEARCH	Danske Markets Equities	nov 09	Joint-Lead Manager	
NEUROSEARCH	Danske Markets Equities	ott 09	Joint-Lead Manager	
NH HOTELES	Caja Madrid	lug 09		
PINGUIN	Bank Degroof	ott 07	Selling agent	
ROYAL UNIBREW	Danske Markets Equities	nov 09	Financial Advisor of the Company	
SAS GROUP	Danske Markets Equities	feb 10	Co-Lead Manager	
SCHULER	equinet			
SILICON SENSOR	equinet			



List of shares in potential Conflicts of Interest (by conflict case)

Luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
SONAE INDUSTRIA	Caixa Banco De Investimento			
SYSTAIC	equinet			
ZAPF CREATION	equinet			
The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds				
AMSTERDAM COMMODITIES	SNS Securities		Lead Manager	
ARTEMIS/PRR	CM-CIC Securities	lug 06		
CAP GEMINI	CM-CIC Securities	giu 05		
EDP	Caixa Banco De Investimento			
SO.PA.F. 3,875% 2012	Banca Akros			
The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed				
AMAGERBANKEN	Danske Markets Equities	ott 09	Lead Manager	
AMAGERBANKEN	Danske Markets Equities	ott 09	Lead Manager	
AP MØLLER MÆRSK	Danske Markets Equities	ott 09	Joint-Lead Manager	
AP MØLLER MÆRSK	Danske Markets Equities	dic 09	Lead Manager	
ATENOR	Bank Degroof	gen 10	Joint-Lead Manager	
BANIF	Caixa Banco De Investimento			
BANK OF AMERICA CORPORATION	Danske Markets Equities	set 09	Co-Lead Manager	
BARCLAYS	Danske Markets Equities	mar 10	Co-Lead Manager	
BARCLAYS BANK	Danske Markets Equities	gen 10	Lead Manager	
BARCLAYS BANK	Danske Markets Equities	ago 09	Co-Lead Manager	
BARCLAYS BANK	Danske Markets Equities	ott 09	Joint-Lead Manager	
BCP	Caixa Banco De Investimento			
BERGENSHALVØENS KOMMUNALE KRAFTSELSKAP	Danske Markets Equities	feb 10	Lead Manager	
BES	Caixa Banco De Investimento			
BN BANK	Danske Markets Equities	mar 10	Lead Manager	
BNP PARIBAS	Danske Markets Equities	lug 09	Co-Lead Manager	
BNP PARIBAS	Danske Markets Equities	dic 09	Co-Lead Manager	
BNP PARIBAS	Danske Markets Equities	gen 10	Lead Manager	
BNP PARIBAS HOME LOAN COVERED BONDS	Danske Markets Equities	giu 10	Lead Manager	
BNP PARIPAS HOME LOAN COVERED BOND	Danske Markets Equities	ott 09	Co-Lead Manager	
BOND ENI 2009/2015 TF	Banca Akros			giugno 2009 - mantenere fino a giugno 2010
BOND ENI 2009/2015 TV	Banca Akros			giugno 2009 - mantenere fino a giugno 2010
CIMPOR	Caixa Banco De Investimento			
CITY OF HELSINKI	Danske Markets Equities	set 09	Lead Manager	
CM-CIC	Danske Markets Equities	feb 10	Co-Lead Manager	
CM-CIC COVERED BOND	Danske Markets Equities	giu 10	Lead Manager	
CONTROLINVESTE INTERNATIONAL FINANCE	equinet			
DANSKE BANK	Danske Markets Equities	feb 10	Lead Manager	
DEUTSCHE BANK	Danske Markets Equities	giu 10	Co-Lead Manager	
DONG ENERGY	Danske Markets Equities	nov 09	Lead Manager	
DSB	Danske Markets Equities	mar 10	Lead Manager	
DSB	Danske Markets Equities	lug 09	Lead Manager	
DSB	Danske Markets Equities	ott 09	Lead Manager	
EDP	Caixa Banco De Investimento			
EIB	Danske Markets Equities	lug 10	Lead Manager	
EIB	Danske Markets Equities	nov 09	Lead Manager	
EIENDOMSKREDITT	Danske Markets Equities	mar 10	Lead Manager	
EIK BANKI	Danske Markets Equities	giu 10	Lead Manager	
EMPORIKI GROUP FINANCE	Danske Markets Equities	nov 09	Co-Lead Manager	
EMPORIKI GROUP FINANCE	Danske Markets Equities	dic 09	Co-Lead Manager	
EMPORIKI GROUP FINANCE	Danske Markets Equities	mar 10	Co-Lead Manager	
EMPORIKI GROUP FINANCE	Danske Markets Equities	apr 10	Co-Lead Manager	
ENEL SPA TF 2010/2016	Banca Akros			collocamento dal 15/2 - 26/2/2010
ENEL SPA TV 2010/2016	Banca Akros			
ESB FINANCE LIMITED	Danske Markets Equities	mar 10	Co-Lead Manager	
EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	Danske Markets Equities	feb 10	Lead Manager	
FIH	Danske Markets Equities	dic 09	Lead Manager	
FIH	Danske Markets Equities	lug 10	Lead Manager	
FINGRID	Danske Markets Equities	giu 10	Lead Manager	
FINNVERA	Danske Markets Equities	apr 10	Lead Manager	
GCE	Danske Markets Equities	feb 10	Co-Lead Manager	
GENERAL ELECTRIC	Danske Markets Equities	feb 10	Lead Manager	
GJENSIDIGE BANK	Danske Markets Equities	mar 10	Lead Manager	
HEIDELBERG CEMENT	Danske Markets Equities	ott 09	Co-Lead Manager	
HELGELAND BOLIGKREDITT	Danske Markets Equities	mar 10	Lead Manager	
HELGELAND BOLIGKREDITT	Danske Markets Equities	apr 10	Lead Manager	
HSBC BANK	Danske Markets Equities	nov 09	Co-Lead Manager	
HSBC FRANCE	Danske Markets Equities	gen 10	Lead Manager	
HSBC HOLDING	Danske Markets Equities	mar 10	Co-Lead Manager	
IBRD	Danske Markets Equities	feb 10	Co-Lead Manager	
ING BANK	Danske Markets Equities	mar 10	Co-Lead Manager	
ING BANK	Danske Markets Equities	set 09	Co-Lead Manager	
ING BANK	Danske Markets Equities	gen 10	Co-Lead Manager	
IRISH LIFE AND PERMANENT	Danske Markets Equities	apr 10	Co-Lead Manager	
KFW	Danske Markets Equities	nov 09	Lead Manager	
KFW	Danske Markets Equities	apr 10	Co-Lead Manager	
KFW	Danske Markets Equities	lug 10	Co-Lead Manager	
KFW	Danske Markets Equities	apr 10	Co-Lead Manager	
KFW	Danske Markets Equities	apr 10	Lead Manager	
KFW	Danske Markets Equities	mag 10	Lead Manager	
KFW	Danske Markets Equities	feb 10	Co-Lead Manager	



List of shares in potential Conflicts of Interest (by conflict case)

luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
KFW	Danske Markets Equities	gen 10	Lead Manager	
KFW	Danske Markets Equities	gen 10	Lead Manager	
KFW	Danske Markets Equities	dic 09	Co-Lead Manager	
KFW	Danske Markets Equities	lug 09	Joint-Lead Manager	
KFW	Danske Markets Equities	ott 09	Lead Manager	
KFW	Danske Markets Equities	set 09	Co-Lead Manager	
KINGDOM OF SWEDEN	Danske Markets Equities	set 09	Co-Lead Manager	
KOMMUNALBANKEN	Danske Markets Equities	mag 10	Co-Lead Manager	
KOMMUNALBANKEN	Danske Markets Equities	mar 10	Co-Lead Manager	
KOMMUNALBANKEN	Danske Markets Equities	mar 10	Co-Lead Manager	
KOMMUNALBANKEN	Danske Markets Equities	feb 10	Co-Lead Manager	
KOMMUNEINVEST	Danske Markets Equities	set 09	Lead Manager	
KOMMUNEKREDIT	Danske Markets Equities	set 09	Lead Manager	
KOMMUNINVEST	Danske Markets Equities	feb 10	Lead Manager	
KOMMUNINVEST	Danske Markets Equities	lug 09	Lead Manager	
KREDITBANKEN	Danske Markets Equities	giu 10	Lead Manager	
KREDITTFORENINGEN FOR SPAREBANKER	Danske Markets Equities	mar 10	Co-Lead Manager	
KREDITTFORENINGEN FOR SPAREBANKER	Danske Markets Equities	apr 10	Co-Lead Manager	
LANDKREDITT BANK	Danske Markets Equities	apr 10	Lead Manager	
LLOYDS TSB BANK	Danske Markets Equities	giu 10	Co-Lead Manager	
LLOYDS TSB BANK	Danske Markets Equities	apr 10	Lead Manager	
LLOYDS TSB BANK	Danske Markets Equities	mar 10	Co-Lead Manager	
LÄNSFORSÄKRINGER HYPOTEK	Danske Markets Equities	mar 10	Lead Manager	
MEDIOBANCA TF 2009/2014	Banca Akros			11/2009 - mantenere fino a 11/2010
MEDIOBANCA TV 2009/2014	Banca Akros			11/2009 - mantenere fino a 11/2010
MORTGAGE SOCIETY OF FINLAND	Danske Markets Equities	mar 10	Lead Manager	
MORTGAGE SOCIETY OF FINLAND	Danske Markets Equities	gen 10	Lead Manager	
MUNICIPALITY FINANCE	Danske Markets Equities	mag 10	Lead Manager	
MUNICIPALITY FINANCE	Danske Markets Equities	mag 10	Lead Manager	
NESTE OIL	Danske Markets Equities	set 09	Lead Manager	
NORDEA BANK	Danske Markets Equities	ott 09	Lead Manager	
NORDIC INVESTMENT BANK	Danske Markets Equities	mag 10	Co-Lead Manager	
NV BANK NEDERLANDSE GEMEENTEN	Danske Markets Equities	mar 10	Co-Lead Manager	
OUTOKUMPU	Danske Markets Equities	giu 10	Lead Manager	
PORTUCEL	Caixa Banco De Investimento			
PORTUGAL TELECOM	Caixa Banco De Investimento			
RABOBANK	Danske Markets Equities	feb 10	Lead Manager	
RABOBANK	Danske Markets Equities	set 09	Lead Manager	
RABOBANK	Danske Markets Equities	giu 10	Co-Lead Manager	
RABOBANK NEDERLANDS	Danske Markets Equities	set 09	Lead Manager	
RAUTARUUKKI	Danske Markets Equities	nov 09	Lead Manager	
REN	Caixa Banco De Investimento			
REPUBLIC OF FINLAND	Danske Markets Equities	mar 10	Lead Manager	
REPUBLIC OF FINLAND	Danske Markets Equities	mar 10	Lead Manager	
ROYAL BANK OF SCOTLAND	Danske Markets Equities	giu 10	Lead Manager	
SBAB	Danske Markets Equities	mar 10	Lead Manager	
SBAB	Danske Markets Equities	nov 09	Lead Manager	
SBAB	Danske Markets Equities	set 09	Lead Manager	
SBAB	Danske Markets Equities	ott 09	Lead Manager	
SBAB	Danske Markets Equities	ott 09	Lead Manager	
SBAB	Danske Markets Equities	nov 09	Lead Manager	
SOCIETE GENERALE	Danske Markets Equities	mar 10	Co-Lead Manager	
SOCIETE GENERALE	Danske Markets Equities	apr 10	Co-Lead Manager	
SOCIETE GENERALE	Danske Markets Equities	ago 09	Co-Lead Manager	
SOCIETE GENERALE SCF	Danske Markets Equities	lug 09	Co-Lead Manager	
SOCIETE GENERALE SCF	Danske Markets Equities	feb 10	Lead Manager	
SOCIETE GENERALE SCF	Danske Markets Equities	ago 09	Co-Lead Manager	
SONAE INDUSTRIA	Caixa Banco De Investimento			
SPAR NORD BANK	Danske Markets Equities	lug 09	Lead Manager	
SPAR NORD BANK	Danske Markets Equities	nov 09	Lead Manager	
SPAREBANK 1 BOLIGKREDITT	Danske Markets Equities	giu 10	Lead Manager	
SPAREBANK 1 NORD-NORGE	Danske Markets Equities	feb 10	Lead Manager	
SPAREBANK 1 SMN	Danske Markets Equities	gen 10	Lead Manager	
SPAREBANK 1 SØRE SUNNMØRE	Danske Markets Equities	apr 10	Lead Manager	
SPAREBANKEN JEVNAKER LUNNER	Danske Markets Equities	mar 10	Co-Lead Manager	
SPAREBANKEN PLUS	Danske Markets Equities	mar 10	Lead Manager	
SPAREBANKEN SOGN OG FJORDANE	Danske Markets Equities	mar 10	Lead Manager	
SPAREBANKEN VEST	Danske Markets Equities	mar 10	Lead Manager	
SPAREBANKEN VEST	Danske Markets Equities	gen 10	Lead Manager	
SPAREBANKEN VEST BOLIGKREDITT	Danske Markets Equities	feb 10	Lead Manager	
SPAREBANKEN ØST	Danske Markets Equities	mar 10	Lead Manager	
SPAREKASSEN FAABORG	Danske Markets Equities	giu 10	Lead Manager	
SPAREKASSEN HIMMERLAND	Danske Markets Equities	mag 10	Lead Manager	
SPARNORD BANK	Danske Markets Equities	lug 10	Lead Manager	
SPONDA	Danske Markets Equities	mag 10	Lead Manager	
STADSHYPOTEK	Danske Markets Equities	set 09	Co-Lead Manager	
SWEDBANK	Danske Markets Equities	giu 10	Lead Manager	
SWEDISH EXPORT CREDIT	Danske Markets Equities	set 09	Lead Manager	
TERRA BOLIGKREDITT	Danske Markets Equities	mar 10	Lead Manager	
TOPDANMARK FORSIKRING	Danske Markets Equities	giu 10	Lead Manager	
TØNDER BANK	Danske Markets Equities	lug 09	Lead Manager	
UBS	Danske Markets Equities	nov 09	Co-Lead Manager	



List of shares in potential Conflicts of Interest (by conflict case)

Luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
UBS	Danske Markets Equities	gen 10	Co-Lead Manager	
UNICREDIT	Danske Markets Equities	lug 09	Co-Lead Manager	
VALHALLA 1	Danske Markets Equities	mar 10	Lead Manager	
VESTFYNS BANK	Danske Markets Equities	giu 10	Lead Manager	
VESTJYSK BANK	Danske Markets Equities	mag 10	Lead Manager	
VESTJYSK BANK	Danske Markets Equities	mag 10	Lead Manager	
VOLVO	Danske Markets Equities	set 09	Lead Manager	
YIT	Danske Markets Equities	mar 10	Lead Manager	
ÅLANDSBANKEN	Danske Markets Equities	gen 10	Lead Manager	
ÅLANDSBANKEN	Danske Markets Equities	set 09	Lead Manager	
ÅRHUS LOKALBANK	Danske Markets Equities	giu 10	Lead Manager	
The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed				
BANCA POP. MILANO 17/10/16	Banca Akros			ottobre 2009 - mantenere fino a ottobre 2010
BNP PARIBAS	Danske Markets Equities	apr 10	Co-Lead Manager	
GALP ENERGIA	Caixa Banco De Investimento			
GALP ENERGIA	Caixa Banco De Investimento			
HAVAS	CM-CIC Securities	gen 08		
IKEA	Danske Markets Equities	giu 10	Lead Manager	
JERONIMO MARTINS	Caixa Banco De Investimento			
MARTIFER	Caixa Banco De Investimento			
MORSØ SPAREKASSE	Danske Markets Equities	lug 10	Lead Manager	
MORTGAGE SOCIETY OF FINLAND	Danske Markets Equities	dic 09	Lead Manager	
MORTGAGE SOCIETY OF FINLAND	Danske Markets Equities	ott 09	Lead Manager	
MOTA ENGL	Caixa Banco De Investimento			
NEXT RADIO TV	CM-CIC Securities	nov 08		
PCC	equinet			
RETTIG	Danske Markets Equities	giu 10	Lead Manager	
SAG	Caixa Banco De Investimento			
SEMAPA	Caixa Banco De Investimento			
SONAE	Caixa Banco De Investimento			
SONAE CAPITAL	Caixa Banco De Investimento			
SONAE INDUSTRIA	Caixa Banco De Investimento			
SPAREKASSEN KRONJYLLAND	Danske Markets Equities	giu 10	Lead Manager	
TEOLLISUUDEN VOIMA	Danske Markets Equities	set 09	Lead Manager	
Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)				
ADVANTEST PUBLIC	equinet			
AEDIAN	CM-CIC Securities			
AEDIFICA	Bank Degroof		Financial Advisor of the Company	
AIR ENERGY	Bank Degroof	mag 08	Paying and Centralising agent	
ALTRI	Caixa Banco De Investimento			
ARXES NETWORK COMMUNICATIONS	equinet			
BANIF	Caixa Banco De Investimento			
BANIMMO	Bank Degroof	lug 09	Lead Manager	
BAYWA	equinet			
BCP	Caixa Banco De Investimento			
BES	Caixa Banco De Investimento			
BIOGATE	equinet			
BIOPETROL	equinet			
BRANTANO	Bank Degroof	gen 08	Financial Advisor of the Bidder	
BRISA	Caixa Banco De Investimento			
BUSINESS MEDIA CHINA	equinet			
CB RETAIL INV	equinet			
CEGID GROUP	CM-CIC Securities			
CIMPOR	Caixa Banco De Investimento			
CLS HOLDINGS	Ncb Stockbroker			Stockbroker/advisor
COFINA	Caixa Banco De Investimento			
COLEXON ENERGY	equinet			
CONCERN TRACTOR PLANTS	equinet			
CORTICEIRA AMORIN	Caixa Banco De Investimento			
DALDRUP & SÖHNE	equinet			
DECEUNINCK	Bank Degroof	giu 06	Financial Advisor of the Family	Sale of 17.5% of family shareholders stake
DONEGAL CREAMERIES	Ncb Stockbroker			Stockbroker/advisor
EADS	equinet			
EDP	Caixa Banco De Investimento			
EDP RENOVAVEIS	Caixa Banco De Investimento			
ELECTRABEL	Bank Degroof	lug 07	Fairness opinion	
EOP BODIESEL	equinet			
EVOLIS	CM-CIC Securities			
FLEURY MICHON	CM-CIC Securities			
FLUXYS	Bank Degroof	giu 08	Fairness opinion	
FORTIS	Bank Degroof	set 08	Independent Financial Advisor	
GALP ENERGIA	Caixa Banco De Investimento			
GENEART	equinet			
GENEFIT	CM-CIC Securities			
GENERIX	CM-CIC Securities			
GIMV	Bank Degroof	mar 09	Advisor	
GIMV	Bank Degroof	mar 09	Advisor	
GIMV	Bank Degroof	nov 07		Financial advisor to the seller
GL EVENTS	CM-CIC Securities			
GLACE DE MOUSTIER	Bank Degroof	ago 07	Fairness opinion	
GLOBO	Ncb Stockbroker			Stockbroker/advisor



List of shares in potential Conflicts of Interest (by conflict case)

Luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
GREYSTAR RESOURCES	Ncb Stockbroker			Stockbroker/advisor
HD HYDROGEN DYNAMICS	equinet			
HF COMPANY	CM-CIC Securities			
IBERSOL	Caixa Banco De Investimento			
IBS	equinet			
IMPRESA	Caixa Banco De Investimento			
INAPA	Caixa Banco De Investimento			
INDEPENDENT NEWS & MEDIA	Ncb Stockbroker			Stockbroker/advisor
IRISH CONTINENTAL GROUP	Ncb Stockbroker			Stockbroker/advisor
ISRA VISION SYSTEMS	equinet			
JERONIMO MARTINS	Caixa Banco De Investimento			
KTG AGRAR	equinet			
L'EAU DU CIEL	equinet			
LEIFHEIT	equinet			
LIVING-E	equinet			
LUXEMPART	Bank Degroof	gen 09	Independent Financial Advisor	
MARTIFER	Caixa Banco De Investimento			
MASS FINANCIAL	equinet			
MBB INDUSTRIES	equinet			
MCB AGRICOLE	equinet			
MEDIA CAPITAL	Caixa Banco De Investimento			
MEYER BURGER	equinet			
MINDSCAPE	CM-CIC Securities			
MOTA ENGIL	Caixa Banco De Investimento			
MYRIA AGRO	equinet			
NORDSTAR PHARMASHARE	equinet			
NORTHERN DYNAST	equinet			
NOVABASE	Caixa Banco De Investimento			
NOVAVISIONS	equinet			
NTR	Ncb Stockbroker			Stockbroker/advisor
OREY ANTUNES	Caixa Banco De Investimento			
OSIATIS SA	CM-CIC Securities			
PM PAN MINERALS	equinet			
PORTUCEL	Caixa Banco De Investimento			
PORTUGAL TELECOM	Caixa Banco De Investimento			
PRIMA INDUSTRIE	Banca Akros			op. AU CAP. conclusa l' 11/2/2010
PRO SERV CAPITAL	equinet			
R. STAHL	equinet			
RAMADA	Caixa Banco De Investimento			
REAL SOFTWARE	Bank Degroof	set 06	Independent Expert Opinion	Debt conversion into equity
REDITUS	Caixa Banco De Investimento			
REN	Caixa Banco De Investimento			
RENEWAGY	equinet			
SAG	Caixa Banco De Investimento			
SCHALTBAU	equinet			
SEMAPA	Caixa Banco De Investimento			
SEVEN PRINCIPLES	equinet			
SHF COMMUNICATIONS	equinet			
SII	CM-CIC Securities			
SINTAL AGRICULTURE PUBLIC	equinet			
SNP SCHNEIDER - NEUREITHER & PARTNER	equinet			
SOARES DA COSTA	Caixa Banco De Investimento			
SONAE	Caixa Banco De Investimento			
SONAE CAPITAL	Caixa Banco De Investimento			
SONAE INDUSTRIA	Caixa Banco De Investimento			
SONAECOM	Caixa Banco De Investimento			
STAFF AND LINE	CM-CIC Securities			
STO	equinet			
SUMOL+COMPAL	Caixa Banco De Investimento			
SUNWAYS	equinet			
SYNTEC INFORMATIQUE	CM-CIC Securities			
SYSTAR	CM-CIC Securities			
TEIXEIRA DUARTE	Caixa Banco De Investimento			
TKS REAL ESTATE	equinet			
TMM REAL ESTATE	equinet			
TWINTEC	equinet			
UNITED ENERGY	equinet			
UTERON PHARMA	Bank Degroof	apr 10	Financial Advisor of the Company	
VERSATILE SYSTEMS	Ncb Stockbroker			Stockbroker/advisor
VISTA ALEGRE ATLANTIS	Caixa Banco De Investimento			
WATERLAND	equinet			
WEST CHINA CEMENT	Ncb Stockbroker			Stockbroker/advisor
ZAMANO	Ncb Stockbroker			Stockbroker/advisor
ZON MULTIMEDIA	Caixa Banco De Investimento			
ZÜRICH FINANZKONTOR	equinet			
The Member and/or its Group had an Investment Banking services client relationship (B)				
ALTRI	Caixa Banco De Investimento			
BRISA	Caixa Banco De Investimento			
COFINA	Caixa Banco De Investimento			
EDP	Caixa Banco De Investimento			
EDP RENOVAVEIS	Caixa Banco De Investimento			
GALP ENERGIA	Caixa Banco De Investimento			



List of shares in potential Conflicts of Interest (by conflict case)

luglio 10

Company	ESN Partner	Operation Date	ESN Partner Role	Conflict Details
JERONIMO MARTINS	Caixa Banco De Investimento			
MARTIFER	Caixa Banco De Investimento			
MOTA ENGIL	Caixa Banco De Investimento			
PORTUCEL	Caixa Banco De Investimento			
REN	Caixa Banco De Investimento			