



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
4SC equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
A NOVO CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
A2A Banca Akros	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company			
AAP IMPLANTATE equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
ACCELL GROUP SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
AD PEPPER MEDIA equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ADL PARTNER CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ADP CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	giu 06		
ADVA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ADVANCED VISION equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ADVANTAGE OIL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ADVANTEST equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ADVANTEST PUBLIC equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
AEDIAN CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
AEDIFICA Bank Degroof Bank Degroof Bank Degroof Bank Degroof	Shares where the Member and/or its Group holds more than (A) (*) Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	ott 06	Lead Manager Financial Advisor of the Company	
AFIRMA Caja Madrid	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	feb 09		
AGROTON equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
AIR ENERGY Bank Degroof Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	mar 07 mag 08	Lead Manager Paying and Centralising agent	
AIXTRON equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
AKKA TECHNOLOGIE CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	mar 05		
AKKA TECHNOLOGIES CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ALLIANCE RESOURCES equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ALPHA BANK S.A IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
ALPHA BANK STOCK FUTURE				



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
ALTRI				
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
AMAGERBANKEN				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Lead Manager	
AMEDISYS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
AMPLIFON				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
AMSTERDAM COMMODITIES				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
SNS Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds		Lead Manager	
AMT HOLDING				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ANACONDA GOLD				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ANATOLIA MINLS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ANHEUSER BUSCH				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
AP MØLLER MÆRSK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	set 09	Financial Advisor of the Company	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	dic 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Joint-Lead Manager	
APPLIED SIGNAL TECH.				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ARC EXPLORATION				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ARGON ST				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ARSEUS				
Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription	set 07	Co-Lead Manager	
ARTEMIS/PRR				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds	lug 06		
ARXES NETWORK COMMUNICATIONS				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
ASHBURTON MINRLS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ATE STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
ATENOR				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10	Joint-Lead Manager	
AURAGENIX				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
AUSTRAL AFRICA				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
AZURE MINERALS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
BAINS MER MONACO equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BANCA IFIS Banca Akros Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			DAL 28/6/2010 AL 16/7/2010
BANCA POP. DI MILANO Banca Akros	Shares of companies part of the Member Group			
BANCA POP. MILANO 17/10/16 Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			ottobre 2009 - mantenere fino a ottobre 2010
BANCA POPOLARE EMILIA ROMAGNA Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
BANIF Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
BANIMMO Bank Degroof Bank Degroof Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	giu 07 lug 09	Lead Manager Lead Manager	
BANK OF AMERICA CORPORATION Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Co-Lead Manager	
BANK OF CYPRUS PUBLIC COMPANY LTD IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
BANK OF CYPRUS STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
BARCLAYS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
BARCLAYS BANK Danske Markets Equities Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ago 09 ott 09 gen 10	Co-Lead Manager Joint-Lead Manager Lead Manager	
BATENBURG BEHEER SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
BAVARIA INDUSTRIEKAPITAL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BAYWA equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
BB BIOTECH equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BCP Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
BEARLAKE GOLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BENDIGO equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BENDIGO AND ADELAIDE BANK equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BENITEC equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
BERENTZEN GRUPPE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BERGENSHALVØENS KOMMUNALE KRAFTSELSKAP Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
BES Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
BESI SEMICONDUCTOR SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
BEST UNION COMPANY Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			fine specialist il 21/5/2010 - mantenere 1 anno
BETA SYSTEMS equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
BFCM CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BIESSE Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
BIO-GATE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BIOALLIAN.PHARMA. CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BIOGATE equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
BIOPETROL equinet equinet equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
BIOTA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BIOTEST equinet equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
BITTERROOT equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BLUE NILE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BN BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
BNP PARIBAS Danske Markets Equities Danske Markets Equities Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	lug 09 dic 09 gen 10 apr 10	Co-Lead Manager Co-Lead Manager Lead Manager Co-Lead Manager	
BNP PARIBAS HOME LOAN COVERED BONDS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
BNP PARIPAS HOME LOAN COVERED BOND Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Co-Lead Manager	
BOIS SAUVAGE Bank Degroof Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription	dic 06	Playing Agent	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
BOLSAS Y MERCADOS ESPAÑOLES				
Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)			
BOND ENI 2009/2015 TF				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			giugno 2009 - mantenere fino a giugno 2010
BOND ENI 2009/2015 TV				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			giugno 2009 - mantenere fino a giugno 2010
BRANTANO				
Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	gen 08	Financial Advisor of the Bidder	
BRAVADA GOLD				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BRENNTAG				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BRISA				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
BSB INTERNATIONAL				
Bank Degroof	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	feb 10		
BULLION RIVER GOLD				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
BUSINESS MEDIA CHINA				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
CAJA MADRID				
Caja Madrid	Shares of companies part of the Member Group			Caja Madrid (100%)
CAP GEMINI				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds	giu 05		
CAPELLI				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptor	ott 05		
CAPELLI				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CARPATHIAN GOLD				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CATALIS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CB RETAIL INV				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
CBH RESOURCES				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CDN ZINC				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CEGID GROUP				
CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
CEGID S.A.				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CENIT				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
CENT EURO				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CEOTRONICS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
CFE Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	ott 06	Co-Lead Manager	
CHALLENGER DIVERSIFIED equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CHR. HANSEN HOLDING Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	giu 10	Joint-Lead Manager	
CIC CAT.A CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CIMPOR Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
CISION Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	feb 10	Joint-Lead Manager	
CITY OF HELSINKI Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
CLARINS CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	ago 08		
CLOUD PEAK ENERGY equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CLS HOLDINGS Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
CM-CIC Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10	Co-Lead Manager	
CM-CIC Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Co-Lead Manager	
CM-CIC COVERED BOND Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
COCA COLA E.E.E. S.A. IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
COCA COLA E.E.E. STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
COEUR D'ALENE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
COFINA Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			
COFINIMMO Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	dic 09	Lead Manager	
COGNIZANT TECH equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
COLEXXON ENERGY equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
COMPETITIVE TECH equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
COMPOSITE SCANDINAVIA Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	mar 10	Financial Advisor of the Company	
CONCERN TRACTOR PLANTS equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
CONNECTEAST				



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CONTROLINVESTE INTERNATIONAL FINANCE				
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
CONVISUAL				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CORPORATE EQUITY PARTNERS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CORTICEIRA AMORIN				
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
CORVETTE RES.				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
COSTIMEX				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	gen 08		
COTT				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CROWFLIGHT				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CROWN VAN GELDER				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
CS GROUP				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CTAC				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
D'IETEREN				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
D.LOGISTICS				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DALDRUP & SOHNE				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber			
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
DANSKE ANDELSKASSER				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	giu 10	Lead Manager	
DANSKE BANK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
DANTHERM FILTRATION				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	mar 10	Financial Advisor of the Company	
DE GREY MINING				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DECEUNINCK				
Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	giu 06	Financial Advisor of the Family	Sale of 17.5% of family shareholders stake
DEMAG CRANES				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DENISON MINES				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DEUTSCHE BANK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Co-Lead Manager	
DEUTSCHE IMMOBILIEN HOLDING				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
DEUTSCHE STEINZEUG equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DF DEUTSCHE FORFAIT equinet equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber			
DFDS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	dic 09	Joint-Lead Manager	
DNICK equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DOCDATA SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
DOCKWISE SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
DONEGAL CREAMERIES Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
DONG ENERGY Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Lead Manager	
DPA FLEX GROUP SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
DRDGOLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DRI equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
DSB Danske Markets Equities Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 09 ott 09 mar 10	Lead Manager Lead Manager Lead Manager	
DUVEL Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
EADS equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
EASTMAIN equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ECHOGLOBAL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ECODIS Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	giu 07	Lead Manager	
EDF CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	nov 05		
EDP Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			
EDP RENOVAVEIS Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
EFG EUROBANK ERGASIAS S.A.				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
EFG EUROBANK ERGASIAS STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
EIB				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 10	Lead Manager	
EIENDOMSKREDITT				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
EIK BANKI				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
EL ALTO				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
EL.EN SPA				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ELECTRABEL				
Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	lug 07	Fairness opinion	
ELIA				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ELLAKTOR S.A.				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
ELLINKI TECNODOMIKI TEB STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
EMPORIKI GROUP FINANCE				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	dic 09	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Co-Lead Manager	
ENEL SPA TF 2010/2016				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			collocamento dal 15/2 - 26/2/2010
ENEL SPA TV 2010/2016				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
ENERVIT SPA				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
EOP BIODIESEL				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
EPIGENOMICS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ESB FINANCE LIMITED				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
ESI GROUP				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
EURO GOLDFIELDS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
EUROMICRON				
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
EVADIX				
Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription	giu 06	Lead Manager	
EVOLIS				



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	mar 06		
CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
EXEL INDUSTRIES A				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
EXPRESS INC				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
FIERA DI MILANO				
Banca Akros	Shares where the Member and/or its Group holds more than (A) (*)			
FIH				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	dic 09	Lead Manager	
FINGRID				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
FINNVERA				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Lead Manager	
FIRESTONE ENERGY				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
FIRST AUST				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
FLEURY MICHON				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
FLINDERS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
FLORIDIENNE				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
FLUXYS				
Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	giu 08	Fairness opinion	
FONCIERE ATLAND				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	dic 06		
FONCIERE DES 6 ET 7EME				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	mar 07		
FONCIERE EUROPE LOGISTIQUE				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	gen 07		
FONCIERE MASSENA				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	gen 07		
FORNIX BIOSCIENCES				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
FORTIS				
Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	set 08	Independent Financial Advisor	
FORTIS BANK				
Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	ott 07	Co-Manager	
FOUNTAIN				
Bank Degroof	Shares where the Member and/or its Group holds more than (A) (*)			
FREENET.DE				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
FROGSTER INTERACTIVE PICTURES				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
FUNKWERK equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GABRIEL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GALP ENERGIA Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			
GAMMA HOLDING SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
GASPLUS Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			dal 6/5/2010
GAZ DE FRANCE CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	lug 05		
GBK BETEILIGUNGEN equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
GCE Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Co-Lead Manager	
GEK TERNA HOLDING, REAL ESTATE, CONSTRUCTION S.A. IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
GEK STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
GENEART equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
GENEFIT CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
GENERAL ELECTRIC Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
GENERIX CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
GENFIT CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GESCO equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
GFT equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
GIMV Bank Degroof Bank Degroof Bank Degroof Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	mar 09 mar 09 mar 09 nov 07	Advisor Advisor	Financial advisor to the seller
GJENSIDIGE BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
GL EVENTS CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	nov 05		
GL EVENTS CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	set 07		



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
GLACE DE MOUSTIER Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	ago 07	Fairness opinion	
GLOBO Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
GOLDBANK MINING equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GOLDEN GOLIATH equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GOLDEN PHOENIX MINERALS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GOLDMAN SACHS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
GOWEX Caja Madrid Caja Madrid	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	mar 10 mar 10		
GOYELLOW equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GRANITI FIANDRE Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
GREAT BASIN GLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GREEK ORGANIZATION OF FOOTBALL PROGNOSTICS S.A. (OPAP) IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
GREEK POSTAL BANK STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
GREYSTAR RESOURCES Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
GROUPE BOURBON CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GROUPE OPEN CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GRUPO ELEKTRA (LATIBEX S.E.) Caja Madrid	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GRUPO IUSACELL (LATIBEX S.E.) Caja Madrid	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GRUPO SOS Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)			
GUILLEMOT CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
GULF ISLAND equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
HAMPTON ROADS BANKSHARES equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
HAVAS CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	gen 08		
HD HYDROGEN DYNAMICS equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
HEIDELBERG CEMENT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Co-Lead Manager	
HELGELAND BOLIGKREDITT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Lead Manager	
HELLENIC EXCHANGES S.A. (EXAE)				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
HELLENIC EXCHANGES STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
HELLENIC PETROLEUM STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
HELLENIC TELECOM.ORGANISATION S.A				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
HELLENIC TELECOM.ORGANISATION STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
HELLENIC TELECOM.ORGANISATION STOCK OPTION				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
HES BEHEER				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
HF COMPANY				
CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
HF COMPANY				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
HIGHLANDS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
HKN				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
HOLLAND COLOURS				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
HSBC BANK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Co-Lead Manager	
HSBC FRANCE				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10	Lead Manager	
HSBC HOLDING				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
HYDROGEN DYNAMICS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
I GRANDI VIAGGI				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
I.R.I.S.				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
I:FAO				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
IBERIA				
Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)			
IBERSOL				
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
IBRD				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Co-Lead Manager	
IBS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
IBT				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
ICT AUTOMATISING SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
IDENTIVE GROUP equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
IKEA Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	giu 10	Lead Manager	
IMPRESA Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
IMS INTL METAL SCE CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription	ott 06		
INAPA Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
INDEPENDENT NEWS & MEDIA Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
INDRA SISTEMAS Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)			
ING BANK Danske Markets Equities Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10 set 09 mar 10	Co-Lead Manager Co-Lead Manager Co-Lead Manager	
ING GROEP equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
INNOCONCEPTS SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
INTEGRALIS equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
INTER PARFUMS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
INTERSEROH equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
INTERVEST RETAIL Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
INTICA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
INTRACOM STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
INTRALOT S.A. IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
INTRALOT STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
IPTE Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
IRISH CONTINENTAL GROUP Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
IRISH LIFE AND PERMANENT Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10 apr 10	Lead Manager Co-Lead Manager	
ISRA VISION SYSTEMS equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
KOMMUNALBANKEN				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10	Co-Lead Manager	
KOMMUNEINVEST				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
KOMMUNEKREDIT				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
KOMMUNINVEST				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 09	Lead Manager	
KONINKLIJKE BRILL				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
KREDITBANKEN				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
KREDITFORENINGEN FOR SPAREBANKER				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
KTG AGRAR				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscripior			
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
L'EAU DU CIEL				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
LACIE				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
LANDKREDIT BANK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Lead Manager	
LATECOERE				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscripior	giu 05		
LBI INTERNATIONAL				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	feb 10	Financial Advisor of the Company	
LBI INTERNATIONAL AB				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
LD EQUITY 1				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	feb 10	Financial Advisor of the Company	
LE TANNEUR				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
LEASINVEST				
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
LEIFHEIT				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
LIONS GATE				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
LIVING-E				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
LLOYDS TSB BANK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Co-Lead Manager	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Lead Manager	
LOEWE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
LOGWIN equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
LUXEMPART Bank Degroof Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	gen 09	Independent Financial Advisor	
LYCOS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
LYNAS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
LÅNSFORSÅKRINGER HYPOTEK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
MAANSHAN IRON equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MACHINERY & INDUSTRIAL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MAG SILVER equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MAISONS FRANCE CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MAPFRE Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)			
MARFIN EGNATIA BANK IBG	Shares of companies part of the Member Group	giu 10	Listing Partner	
MARRFIN POPULAR BANK IBG	Shares of companies part of the Member Group	giu 10	Listing Partner	
MARTIFER Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			
MASS FINANCIAL equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
MATRIX SERVICE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MATTHEWS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MAX AUTOMATION equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
MB ELECTRONIQUE CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription	mar 07		
MBB INDUSTRIES equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
MCB AGRICOLE equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
MCC GLOBAL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
MDN equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MECALUX Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)	lug 08		
MECOM Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	mar 08	Financial Advisor of the Company	
MEDIA CAPITAL Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
MEDIOBANCA TF 2009/2014 Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			11/2009 - mantenere fino a 11/2010
MEDIOBANCA TV 2009/2014 Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			11/2009 - mantenere fino a 11/2010
MEDNAX equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MEMSCAP CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MERCYALIS CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	ott 05		
METABOLIC EXPLORER CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior			
METEX CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	apr 07		
METKA STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
METROVACESA Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)	feb 09		
MEYER BURGER equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
MHP SA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MINARA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MINDSCAPE CM-CIC Securities CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	dic 07		
MINING PROJECTS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MITSUJ equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MOBOTIX equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MOLOGEN equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MOMENTA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MONTEA Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
MORSØ SPAREKASSE Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	lug 10	Lead Manager	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
MORTGAGE SOCIETY OF FINLAND				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	ott 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	dic 09	Lead Manager	
MOTA ENGLIL				
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
MOTOR OIL STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
MRIYA GOLD				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MRM				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MTU AERO ENGINES				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MUEHLHAN				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
MUNICIPALITY FINANCE				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10	Lead Manager	
MYRIA AGRO				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
MYTILINEOS HOLDINGS STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
NANOFOCUS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NANOGATE				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
NATIONAL BANK OF GREECE S.A.				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
NATIONAL BANK OF GREECE STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
NATIONAL BANK OF GREECE STOCK OPTION				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
NATIXIS				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	nov 06		
NATL OILWELL				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NATUREX				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	gen 05		
NEDAP				
SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
NEMETSCHKE				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NESTE OIL				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
NESTLE				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NEUF CEGETEL				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	mag 08		



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
NEURONES CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NEUROSEARCH Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	ott 09 nov 09	Joint-Lead Manager Joint-Lead Manager	
NEUROSFT Banca Akros	The Member is Nominated Adviser on AIM			
NEWAYS ELECTRONICS INTERNATIONAL SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
NEXT RADIO TV CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	nov 08		
NEXTRADIO TV CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	ott 05		
NEXUS equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
NH HOTELES Caja Madrid Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	lug 09		
NORD STAR PHARMASHARE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NORDEA BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Lead Manager	
NORDIC INVESTMENT BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10	Co-Lead Manager	
NORDSTAR PHARMASHARE equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
NORTHERN DYNAST equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
NORVESTOR EQUITY Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	lug 10	Financial Advisor of the Company	
NOVABASE Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
NOVAGOLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
NOVAVISIONS equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
NTR Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
NV BANK NEDERLANDSE GEMEENTEN Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
NYKREDIT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	mar 10	Financial Advisor of the Company	
NYRSTAR Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	ott 07	Co-Manager	
ODENSE STEEL SHIPYARD Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	set 09	Financial Advisor of the Company	
OHB TECHNOLOGY equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
OLYMPUS				



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
OPAP STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
OPAP STOCK OPTION IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
OPTIMUM COAL HOLDINGS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ORAPI CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	ago 09		
OREY ANTUNES Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
OROYA MINING equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
OSIATIS SA CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
OUTOKUMPU Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
PAC RIDGE EXPL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PALADIN RSC equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PAN AUST RSC equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PANERA BREAD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PANORAMIC equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PAREF CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptior	apr 07		
PASSAT CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PCC equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
PETMED EXPRESS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PHARMING GROUP SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
PINGUIN Bank Degroof Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	ott 07	Selling agent	
PIRAEUS BANK S.A. IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
PIRAEUS BANK STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
PLASTIC OMNIUM CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PLIM equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PM PAN MINERALS equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
PORTHUS Bank Degroof	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	ott 06	Co-Lead Manager	
PORTUCEL Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			
PORTUGAL TELECOM Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
POWERLINX equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PRIMA INDUSTRIE Banca Akros Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			from 22/06/2009 op. AU CAP. conclusa l' 11/2/2010
PRO SERV CAPITAL equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
PRODWARE CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	mar 06		
PROVIDENCE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PROXIMEDIA Bank Degroof	Shares where the Member and/or its Group holds more than (A) (*)			
PSI equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
PUBLIC POWER CORP. STOCK OPTION IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
PUBLIC POWER CORP. STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
PUBLIC POWER CORPORATION S.A. IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
PUNCH GRAPHIX SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
QF INVESTIETICO Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
R. STAHL equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
RABOBANK Danske Markets Equities Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10 giu 10 set 09	Lead Manager Co-Lead Manager Lead Manager	
RABOBANK NEDERLANDS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
RAMADA Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
RAUTARUUKKI Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Lead Manager	
REAL SOFTWARE Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	set 06	Independent Expert Opinion	Debt conversion into equity
REALCO				



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
REALIA Caja Madrid	Shares where the Member and/or its Group holds more than (A) (*)			
REDITUS Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
REEELSEV. SP ADRS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
REN Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptor The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B)			
RENEWAGY equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
REPUBLIC OF FINLAND Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10 mar 10	Lead Manager Lead Manager	
RESILUX Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)	mar 08		
RETTIG Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	giu 10	Lead Manager	
REXEL CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptor	apr 07		
RICHLY FIELD CHINA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ROBECO equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ROCHE HOLDING equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ROCKWELL DIAMONDS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ROLINCO equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ROOD MICROTEC SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ROYAL BANK OF SCOTLAND Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
ROYAL UNIBREW Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	nov 09	Financial Advisor of the Company	
RUSHYDRO equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SAG Caixa Banco De Investimento Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SANEF CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriptor	mar 05		
SAPEC Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
SARACEN MINERAL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
SAS GROUP				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	feb 10	Co-Lead Manager	
SASA INDUSTRIE				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	mar 07		
SBAB				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ott 09	Lead Manager	
SCHALTBAU				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SCHULER				
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
SCORPIO MINING				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SECURIDEV				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SEMAPA				
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SEVEN PRINCIPLES				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SHF COMMUNICATIONS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SHILOH INDS				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SIDENOR STOCK FUTURE				
IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
SIDETRADE				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	giu 05		
SIGMA PHARMACEUTICAL				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SIHAYO GOLD				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SII				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SILICON SENSOR				
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
SILVRCRST MINES				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SINGULUS TECHNOLOGIES				
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
SINTAL AGRICULTURE PUBLIC				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SIXTH SWEDISH NATIONAL PENSION FUND				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	gen 10	Financial Advisor of the Company	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
SMT SCHARF				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
SNP SCHNEIDER - NEUREITHER & PARTNER				
equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SO.P.A.F. 3,875% 2012				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds			
SOARES DA COSTA				
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SOCIETE CENTRALE DES BOIS ET SCIERIES DE LA MANCHE				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SOCIETE GENERALE				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscrip	feb 08		
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
SOCIETE GENERALE				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ago 09	Co-Lead Manager	
SOCIETE GENERALE SCF				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	ago 09	Co-Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 09	Co-Lead Manager	
SOGECLAIR				
CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SOLAR FABRIK				
equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SOLVAY				
equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SONAE				
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SONAE CAPITAL				
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SONAE INDUSTRIA				
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SONAECOM				
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SOREFICO COIFFURE				
CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscrip	dic 08		
SPAR NORD BANK				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	nov 09	Lead Manager	
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 09	Lead Manager	
SPAREBANK 1 BOLIGKREDITT				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
SPAREBANK 1 NORD-NORGE				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
SPAREBANK 1 SMN				
Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10	Lead Manager	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
SPAREBANK 1 SØRE SUNNMØRE Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10	Lead Manager	
SPAREBANKEN JEVNAKER LUNNER Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Co-Lead Manager	
SPAREBANKEN PLUS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
SPAREBANKEN SOGN OG FJORDANE Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
SPAREBANKEN VEST Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
SPAREBANKEN VEST Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10	Lead Manager	
SPAREBANKEN VEST BOLIGKREDIT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	feb 10	Lead Manager	
SPAREBANKEN ØST Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
SPAREKASSEN FAABORG Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
SPAREKASSEN HIMMERLAND Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10	Lead Manager	
SPAREKASSEN KRONJYLLAND Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	giu 10	Lead Manager	
SPARNORD BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 10	Lead Manager	
SPARTECH equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SPARTON equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SPIR COMMUNICATION CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SPONDA Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10	Lead Manager	
SPOTLESS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
STADSHYPOTEK Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10 set 09	Lead Manager Co-Lead Manager	
STAFF AND LINE CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
STARPHARMA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
STERN GROEP SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
STO equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
STRAYER EDUCAT equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
STRIKE OIL equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SUESS MICROTEC equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
SUMOL+COMPAL Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SUNRIDGE GOLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SUNWAYS equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SUPERIOR PLUS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SUZLON ENERGY equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
SVENSK EXPORTKREDIT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Co-Lead Manager	
SWEDBANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
SWEDISH EXPORT CREDIT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
SYDBANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	set 09	Joint-Lead Manager	
SYNTEC INFORMATIQUE CM-CIC Securities	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
SYSTAIC equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
SYSTAR CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	dic 09		
SÜSS MICROTEC equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
TALECRIS BIOTHERAP equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TANAMI GOLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TEIXEIRA DUARTE Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
TEN NETWORK equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TEOLLISUUDEN VOIMA Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed	set 09	Lead Manager	
TER BEKE Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
TERRA BOLIGKREDITT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
TESSI CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TFS CORP equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
THE MIDDLEBY equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
THERMOCOMPACT CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber	apr 07		



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
TIGER RESOURCES equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TINKA RESOURCES equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TITAN CEMENT COMPANY STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
TITANIUM equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TKS REAL ESTATE equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
TMC SNS Securities	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
TMM REAL ESTATE equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
TOGNUM equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TOPDANMARK FORSIKRING Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
TRANSPACIFIC equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TRILOGIO CM-CIC Securities CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscripior	nov 06		
TRITON PARTNERS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	feb 10	Exclusive Financial Advisor	
TUMI RSCS equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TV AZTECA (LATIBEX S.E.) Caja Madrid	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TVI PACIFIC equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
TWINTEC equinet equinet equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) Shares where the Member is "Liquidity Provider" or "Market-maker" (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscripior Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
TØNDER BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 09	Lead Manager	
U10 CM-CIC Securities	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
UBS Danske Markets Equities Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	apr 10 gen 10 nov 09	Lead Manager Co-Lead Manager Co-Lead Manager	
UMPQUA equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
UNICREDIT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	lug 09	Co-Lead Manager	
UNION ENGINEERING Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	apr 10	Financial Advisor of the Company	



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
UNITED ENERGY equinet equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
URBAN OUTFITTER equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
UTERON PHARMA Bank Degroof	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	apr 10	Financial Advisor of the Company	
UTIMACO SAFEWARE equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
VALEDO PARTNERS Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	lug 10	Financial Advisor of the Company	
VALHALLA 1 Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
VAN DE VELDE Bank Degroof	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
VAN LANSCHOT SNS Securities	Shares where the Member and/or its Group holds more than (A) (*)			
VANGOLD equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
VBH equinet equinet	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
VERSATILE SYSTEMS Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
VESTFYNS BANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	
VESTJYSK BANK Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mag 10 mag 10	Lead Manager Lead Manager	
VINCI CM-CIC Securities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscripior	apr 06		
VOHALCO STOCK FUTURE IBG	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	giu 10		
VISTA ALEGRE ATLANTIS Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
VITESSE Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	feb 10	Financial Advisor of the Company	
VOLKSWAGEN equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
VOLVO Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	set 09	Lead Manager	
VOXSON CARL-L. ERIKSSON Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement	ott 09	Financial Advisor of the Company	
VOYAGER RESOURCES equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
VTG equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
VTION WIRELESS TECH equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
WATERLAND equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			



List of shares in potential Conflicts of Interest (by issuer)

luglio 10

Company	Conflict Description	Operation Date	ESN Partner Role	Conflict Details
WEST CHINA CEMENT Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
WHISTLER GOLD EXPLORATION equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
X CAL RESOURCES equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
YIT Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	mar 10	Lead Manager	
YOOX S.P.A. Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscriber			11/09 - mantenere fino a 11/2010
ZAMANO Ncb Stockbroker	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			Stockbroker/advisor
ZAPF CREATION equinet equinet	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
ZBB ENERGY equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ZON MULTIMEDIA Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
ZUCCHI ORD & SAV Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
ZUER. FINANZKONT. equinet	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
ZÜRICH FINANZKONTOR equinet	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
ÅLANDSBANKEN Danske Markets Equities Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	gen 10 set 09	Lead Manager Lead Manager	
ÅRHUS LOKALBANK Danske Markets Equities	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	giu 10	Lead Manager	