



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>2G Energy AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>4SC AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>AB BIOTICS</b> GVC Gaesco Beka	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)	Jul-10		
<b>ABEO</b> CM-CIC Market Solutions CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Oct-16		
<b>ABIVAX</b> CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ACCELL GROUP</b> NIBC Markets NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>ACCESS INDUSTRIE</b> CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription Nov-17			
<b>ACCOR</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ACCYS TECHNOLOGIES</b> NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
<b>ACOTEL</b> Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
<b>ADEUNIS</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>ADLER REAL ESTATE</b> CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ADMIE STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>ADVICENNE</b> NIBC Markets	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>AEROPORTS DE PARIS</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>AGRARIUS AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>AIR FRANCE KLM</b>				



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CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>AIR France KLM</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>AIR LIQUIDE</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>AIRBUS SE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>AKKA TECHNOLOGIES</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>ALPHA BANK STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>ALPHA BANK STOCK OPTION</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>ALSTOM</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ALTRAN</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ALTRI</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>AMPLIFON</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>AMSTERDAM COMMODITIES</b>				
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>ANIMA HOLDING</b>				
Banca Akros	Shares where the Member and/or its Group holds more than (A) (*)			31/3/2014-10/4/2014
<b>APRR (AUTOROUTES PARIS RHIN RHONE)</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ARCELORMITTAL</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>AREVA</b>				



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CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ARKEMA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ASGARD INVESTMENT HOTELS SOCIMI</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Aug-16		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>ASSYSTEM</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ASTALDI</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ATLANTIA</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>ATRYS HEALTH</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jun-17		
<b>AUTOPISTAS DEL ATLÁNTICO, CONCESIONARIA ESPAÑOLA, S.A.U.</b>				
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Feb-18		
<b>AUTOSTRADE TO-MI</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			MERCATO TAH
<b>AXELERO</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
<b>AZIMUT</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>Ad Pepper media</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Aixtron SE</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>BANCA FARMAFACTURING</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			RISERVATA ISTITUZIONALI
<b>BANCO BPM</b>				
Banca Akros	Shares of companies part of the Member Group			
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>BATENBURG BEHEER</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



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<b>BAY HOTELS &amp; LEISURE SOCIMI</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jul-17		
<b>BAYER</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>BB BIOTECH</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>BCP</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>BEST UNION COMPANY</b>				
Banca Akros	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company			
<b>BFCM SA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>BIOM'UP</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>BIONATURIS (BIOORGANIC RESEARCH SER)</b>				
GVC Gaesco Beka	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)	Jan-12		
<b>BMCE BANK</b>				
CM-CIC Market Solutions	Shares of companies part of the Member Group			
<b>BNP PARIBAS</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>BOLLORE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>BOURBON</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>BOUYGUES</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>BPCE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>BPI</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			



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Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>BUREAU VERITAS S.A.</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>Baumot Group AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>BayWa AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Biotest AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Biotest AG Vorzugsaktien</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Brenntag AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>CAPE LIVE</b>				
Banca Akros	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>CAPGEMINI</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>CAPMAN</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>CARBURES</b>				
GVC Gaesco Beka	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)	Mar-12		
<b>CARREFOUR</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>CASINO GUICHARD-PERRACHON</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>CATENON</b>				
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>CATTOLICA ASSICURAZIONI</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>CAVERION</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>CELLNOVO</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jul-15		
<b>CENERGY HOLDINGS STOCK FUTURE</b>				



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Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>CHRISTIAN DIOR</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>CLASQUIN</b>				
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)		Sponsor	
<b>CLEVER GLOBAL</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Dec-16		
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	Aug-16		
<b>COCA COLA HBC STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>COFIDUR</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)		Sponsor	
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	Nov-11	Sponsor	
<b>COFINA</b>				
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>COMPAGNIE AGRICOLE DE LA CRAU</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription Feb-16			
<b>CONTINENTAL</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>CORTICEIRA AMORIM</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>CREDIT AGRICOLE SA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>CREDITO VALTELLINESE</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>CROSSJECT</b>				
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)		Sponsor	
<b>CTAC</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			



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NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>CTT</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
<b>Corestate Capital Holding SA</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>CytoTools AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>DAIMLER AG</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>DANONE</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>DELFINGEN INDUSTRY</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Dec-12		
<b>DEMIRE Deutsche Mittelstand Real Estate AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>DF Deutsche Forfait AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>DIGITAL BROS</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
<b>DIGITAL MAGIC</b>				
Banca Akros	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>DIRECT ENERGIE</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jun-12		
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)	Nov-13		
<b>DNA</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>DOBANK</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			11/07/2017
<b>DOGI INTERNATIONAL FABRICS</b>				
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	Jul-15		
<b>DOM SECURITY</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			





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<b>DPA GROEP</b> NIBC Markets NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Daldrup &amp; Söhne AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Delivery Hero AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>E.ON</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ECOSLOPS</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>EDENRED</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>EDF</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>EDP</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities			
<b>EDP RENOVAVEIS</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company Shares where the Member and/or its Group holds more than (A) (*) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group had an Investment Banking services client relationship (B) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities			
<b>EFG EUROBANK ERGASIAS STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>ELLAKTOR S.A. (ex ELLINIKI TECNODOMIKI TEB) STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		





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<b>ELTEL</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
<b>EMOVA GROUP</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)		Sponsor	
<b>ENDESA</b>				
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription Nov-14			
<b>ENEL</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ENERVIT SPA</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
<b>ENGIE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ENVIPCO</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>EPIGENOMICS AG</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ESI GROUP</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>ESSILOR INTL.</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>EUROESPES</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Apr-15		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>EURONA WIRELESS TELECOM</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Aug-16		
<b>EUROPEAN ASSETS TRUST</b>				
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>EVOLIS</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Nov-11		
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)		Sponsor	
<b>EXPRIVIA</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			INIZIO ATTIVITA' SPECIALIST IL 30/09/2013



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>EYEMAXX REAL ESTATE</b> CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>EYEMAXX Real Estate AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>FACEPHI BIOMETRIA</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jun-15		
<b>FALCK REWENABLES</b> Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			Inizio attività dal 16/1/2013
<b>FAURECIA</b> CM-CIC Market Solutions CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>FERMENTALG</b> CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription Oct-17			
<b>FF Group SHOPS STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>FLEURY MICHON</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>FONCIERE ATLAND</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>FONCIERE DES REGIONS</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>FORIS AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>FRESENIUS</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>FTSE/ASE LARGE CAP FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>FTSE/ASE LARGE CAP OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>FUGRO</b> CM-CIC Market Solutions NIBC Markets	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>Freenet AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Fyber N.V.</b>				



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>GALP ENERGIA</b>				
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>GAMBERO ROSSO</b>				
Banca Akros	The Member is Nominated Adviser on AIM			
<b>GAMENET</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>GASPLUS</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			dal 6/5/2010
<b>GBK Beteiligungen AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>GBL</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>GECINA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Sep-17	Bookrunner	
<b>GEK STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>GENERIX</b>				
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>GENFIT</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>GENOMIC VISION</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Sep-07		
<b>GFT Technologies SE</b>				



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>GL EVENTS</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>GPI</b>				
Banca Akros	The Member is Nominated Adviser on AIM			
<b>GRIVALIA PROPERTIES (ex EUROBANK PROPERTIES REAL ESTATE INVESTMENT COMPANY) STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>GRIÑO ECOLOGIC S.A.</b>				
GVC Gaesco Beka	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)	Mar-16		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>GROUPE AUCHAN</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Jan-18	Bookrunner	
<b>GROUPE OPEN</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jan-16		
<b>GROUPE PARTOUCHE</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>GROUPE PSA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Mar-18	Bookrunner	
<b>GROUPE SFPI S.A.</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>GRUPO INSUR</b>				
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Jul-17		
<b>Gesco AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Gigaset AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Groupe Bruxelles Lambert SA</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	May-17	Bookrunner	
<b>HAVAS</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>HEADFIRST SOURCE GROUP</b>				
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>HEATHROW AIRPORT</b> CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Jun-17	Bookrunner	
<b>HELLENIC EXCHANGES STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>HELLENIC PETROLEUM STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>HELLENIC TELECOM.ORGANISATION STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>HELLENIC TELECOM.ORGANISATION STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>HF COMPANY</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>HKSCAN</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>HOLLAND COLOURS</b> NIBC Markets NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>HUHTAMÄKI</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>Heidelberg Pharma AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Hypoport AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>I GRANDI VIAGGI</b> Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
<b>IBERSOL</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**) Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities			
<b>ICADE</b> CM-CIC Market Solutions CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker) The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Feb-18	Bookrunner	
<b>ICT AUTOMATISERING</b> NIBC Markets NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>IDEAMI</b>				
Banca Akros	The Member is Nominated Adviser on AIM			
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>ILIAD</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Apr-18	Bookrunner	
<b>ILSOLE24ORE</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			30/10/17-10/11/17
<b>IMCD</b>				
NIBC Markets	The Member and/or its Group provided debt financing (B)			
<b>IMERYS</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>IMPRESA</b>				
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>INDEL B</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			dal 4/5/2017
<b>INDRA</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jul-14		
<b>INDUSTRIAL STARS OF ITALY 3</b>				
Banca Akros	The Member is Nominated Adviser on AIM			
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			Posizione netta lunga dello 0,91% del capitale
<b>INNOVAITALY 1</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
<b>INTEK GROUP</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>INTERSHOP Communications AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>INTRALOT STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>INYPSA</b>				
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	Jul-17		
<b>IVU Traffic Technologies AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Innogy SE</b>				



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>Isra Vision Systems AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>JABIL CIRCUIT</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	May-17	Co-Lead Manager	
<b>JERONIMO MARTINS</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>JUMBO S.A. STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>KAMUX</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>KAUFMAN &amp; BROAD</b>				
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>KEMIRA</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>KENDRION</b>				
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>KERING</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>KEYRUS</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>KLEPIERRE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>KONECRANES</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>KONINKLIJKE BAM GROEP NV</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>KONINKLIJKE BRILL</b>				
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>KONINKLIJKE KPN NV</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			





# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>KORIAN</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>LAFARGEHOLCIM Ltd</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>LAFARGEHOLCIM Ltd</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Aug-17	Bookrunner	
<b>LANSON-BCC</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>LEGRAND</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Feb-18	Bookrunner	
<b>LEHTO GROUP</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
<b>LLEIDANETWORKS SERVEIS TELEMATICS</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Sep-15		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	Sep-15		
<b>LUCAS BOLS</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>LUZ SAUDE</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
<b>LVMH</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>Logwin AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>M.A.X. Automation SE</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>MAGHREBAIL</b>				
CM-CIC Market Solutions	Shares of companies part of the Member Group			
<b>MAIRE TECNIMONT</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>MAISONS FRANCE</b>				



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>MASMOVIL IBERCOM</b>				
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	Aug-16		
<b>MAUREL ET PROM</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>MELIÁ HOTELS INTERNATIONAL, S.A.</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Feb-17		
<b>MEMSCAP</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>MERCAL INMUEBLES SOCIMI</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Aug-16		
<b>MERCIALYS SA</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Feb-18	Bookrunner	
<b>METABOLIC EXPLORER</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>METRO AG</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>METSO</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>MICHELIN</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>MOTA ENGIL</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities			
<b>MOTOR OIL STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>MYTILINEOS HOLDINGS STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>Merkur Bank KGaA</b>				
equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>NANOBIOTIX</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>NATIONAL BANK OF GREECE STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>NATIONAL BANK OF GREECE STOCK OPTION</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>NBI BEARINGS EUROPE</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Apr-15		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
GVC Gaesco Beka	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue	Apr-15		
<b>NEDAP</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>NEOL BIOSOLUTIONS</b>				
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>NEURON BIO</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Aug-16		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>NEURONES</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Feb-17		
<b>NEWAYS ELECTRONICS INTERNATIONAL</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>NOS</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>NOTORIUS PICTURES</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
Banca Akros	The Member is Nominated Adviser on AIM			
<b>NOVABASE</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>NOVISOURCE</b> NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>NRJ GROUP</b> CM-CIC Market Solutions	Shares where the Member and/or its Group holds more than (A) (*)			
<b>ONCODESIGN</b> CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>ONXEO</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>OPAP STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>OPAP STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>ORANGE</b> CM-CIC Market Solutions CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>ORDINA</b> NIBC Markets	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>ORSERO</b> Banca Akros	The Member is Nominated Adviser on AIM			
<b>OSMOZIS</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>PAPREC</b> CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Feb-18	Bookrunner	
<b>PASSAT</b> CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>PERNOD RICARD</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>PHARMAGEST INTERACTIVE</b> CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>PHAROL</b> Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*) The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**) The Member and/or its Group provided debt financing (B) The Member and/or its Group provided debt financing (B) The Member and/or its Group holds a position in debt securities			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>PIAGGIO</b> Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>PIERRE ET VACANCES</b> CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds	Nov-17	Co-Lead Manager	
<b>PIRAEUS BANK STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>PIRAEUS BANK STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>PIRAEUS PORT AUTHORITY S.A. STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>PIRELLI</b> Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			18-28/9/17
<b>PLASTIC OMNIUM</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>PRIME INDUSTRIE</b> Banca Akros	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			DAL 1/3/2013
<b>PUBLIC POWER CORP. STOCK FUTURE</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>PUBLIC POWER CORP. STOCK OPTION</b> Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>PUBLICIS</b> CM-CIC Market Solutions CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>QUONIA Socimi, S.A.</b> GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jan-18		
<b>RALLYE</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>RAPALA</b> Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>RCI BANQUE</b> CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Mar-17	Bookrunner	
<b>REFRESCO</b> NIBC Markets	The Member and/or its Group provided debt financing (B)			
<b>RELX</b> CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>REN</b>				
Caixa Banco De Investimento	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company			
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Private Placement			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group had an Investment Banking services client relationship (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities			
<b>RENAULT</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>RENAULT SAS</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Apr-18	Bookrunner	
<b>REXEL</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Feb-17	Bookrunner	
<b>ROOD MICROTEC</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>ROVIO ENTERTAINMENT</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>RTE</b>				
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Oct-17	Bookrunner	
<b>RTE (Réseau de Transport d'Electricité)</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>RUBIS</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>SACYR</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>SAFILO</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>SAFRAN</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Mar-17	Bookrunner	



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>SAGESS</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SAINT-GOBAIN</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Nov-17	Bookrunner	
<b>SALAFIN</b>				
CM-CIC Market Solutions	Shares of companies part of the Member Group			
<b>SALINI IMPREGILO</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>SANEF</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SANOFI</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SARAS</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>SCHNEIDER ELECTRIC SE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SECUOYA GRUPO COMUNICACION</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Aug-16		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Aug-16		
<b>SEMAPA</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>SERGE FERRARI GROUP</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Sep-17	Bookrunner	
<b>SERVICE POINT SOLUTIONS, S.A.</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Dec-16		
<b>SIAS</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			MERCATO TAH
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>SII</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			





# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>SILMÄSEMA</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>SLIGRO FOOD GROUP</b>				
NIBC Markets	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>SOCIETE FONCIERE LYONNAISE S.A.</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SOCIETE GENERALE</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SODEXO</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>SOFRAGI</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>SOGEFI</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Apr-17	Bookrunner	
<b>SOLARIA CASIOPEA</b>				
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Dec-17		
<b>SONAE</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Convertible Bonds			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>SONAE CAPITAL</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securitites			
<b>SONAE INDUSTRIA</b>				
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Not Listed			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities			
<b>SPIR COMMUNICATION</b>				
CM-CIC Market Solutions	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>SRV GROUP</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>STERN GROEP</b>				
NIBC Markets	The Member is party to an agreement with the issuer relating to the production of the recommendation			
NIBC Markets	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>STMICROELECTRONICS</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Nov-17	Bookrunner	
<b>STOCKMANN</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
<b>STUDENT PROPERTIES SPAIN SOCIMI, S.A.</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Dec-17		
GVC Gaesco Beka	The Member is Nominated Adviser on AIM	Dec-17		
<b>SUEZ</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Apr-17	Bookrunner	
<b>TECHNIPFMC Plc</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>TELECOM ITALIA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>TELEFONICA</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>TEREOS</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>TERNA ENERGY S.A. STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>TERREIS</b>				
CM-CIC Market Solutions	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>TERVEYSTALO</b>				
Op Corporate Bank	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>TEVA PHARMACEUTICAL</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>THALES</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
CM-CIC Market Solutions	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed	Jan-18	Bookrunner	
<b>THE NAVIGATOR</b>				
Caixa Banco De Investimento	Shares where the Member and/or its Group holds more than (A) (*)			
Caixa Banco De Investimento	The Member participated in consortium for underwriting and/or placement (B) (**) - Bonds - Listed			
Caixa Banco De Investimento	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
Caixa Banco De Investimento	Any other known Non-Investment Banking Service provided (B)			
Caixa Banco De Investimento	The Member and/or its Group provided debt financing (B)			
Caixa Banco De Investimento	The Member and/or its Group holds a position in debt securities			
<b>THERMADOR GROUPE</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
<b>TITAN CEMENT COMPANY STOCK FUTURE</b>				
Investment Bank of Greece	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	May-18		
<b>TOD'S</b>				
Banca Akros	A director or a board person of the Member and/or its Group is an officer, director, board or supervisory board member of the Company			
<b>TOTAL</b>				
CM-CIC Market Solutions	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>TRAJANO IBERIA SOCIMI</b>				
GVC Gaesco Beka	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)	Jul-15		
<b>UNIBAIL-RODAMCO</b>				
CM-CIC Market Solutions	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>UNICREDIT</b>				
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - Rights issue			
<b>VEI1</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
Banca Akros	The Member is Nominated Adviser on AIM			
Banca Akros	The Member participated in consortium for underwriting and/or placement (B) (**) - Shares - IPO/Public Offers for Selling and/or Subscription			
<b>VISIBILIA EDITORE</b>				
Banca Akros	The Member owns a net long position exceeding the threshold of 0.5 % of the total issued share capital of the issuer			
Banca Akros	Debt instruments where the Member is "Specialist" (Liquidity Providers or Market-maker)			
<b>VITA EDITORIALE</b>				
Banca Akros	Shares where the Member is "Specialist" (Liquidity Provider or Market-maker with research obligation) (**)			
Banca Akros	The Member is Nominated Adviser on AIM			



# List of shares in potential Conflicts of Interest (by issuer)

April 2018

Company	Conflict Description	Operation Date	ESN Member Role	Conflict Details
<b>VOPAK</b> NIBC Markets	Any other known Investment Banking Service provided (B) (i.e.: advisory, etc.) (**)			
<b>epigenomics AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>euromicron AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			
<b>manz AG</b> equinet Bank	Shares where the Member is "Liquidity Provider" or "Market-maker" (**)			